



# **3D Computer Graphics (II)**

**Multimedia Techniques & Applications**

**Yu-Ting Wu**

*(with slides borrowed from Prof. Yung-Yu Chuang)*

# What is Computer Graphics

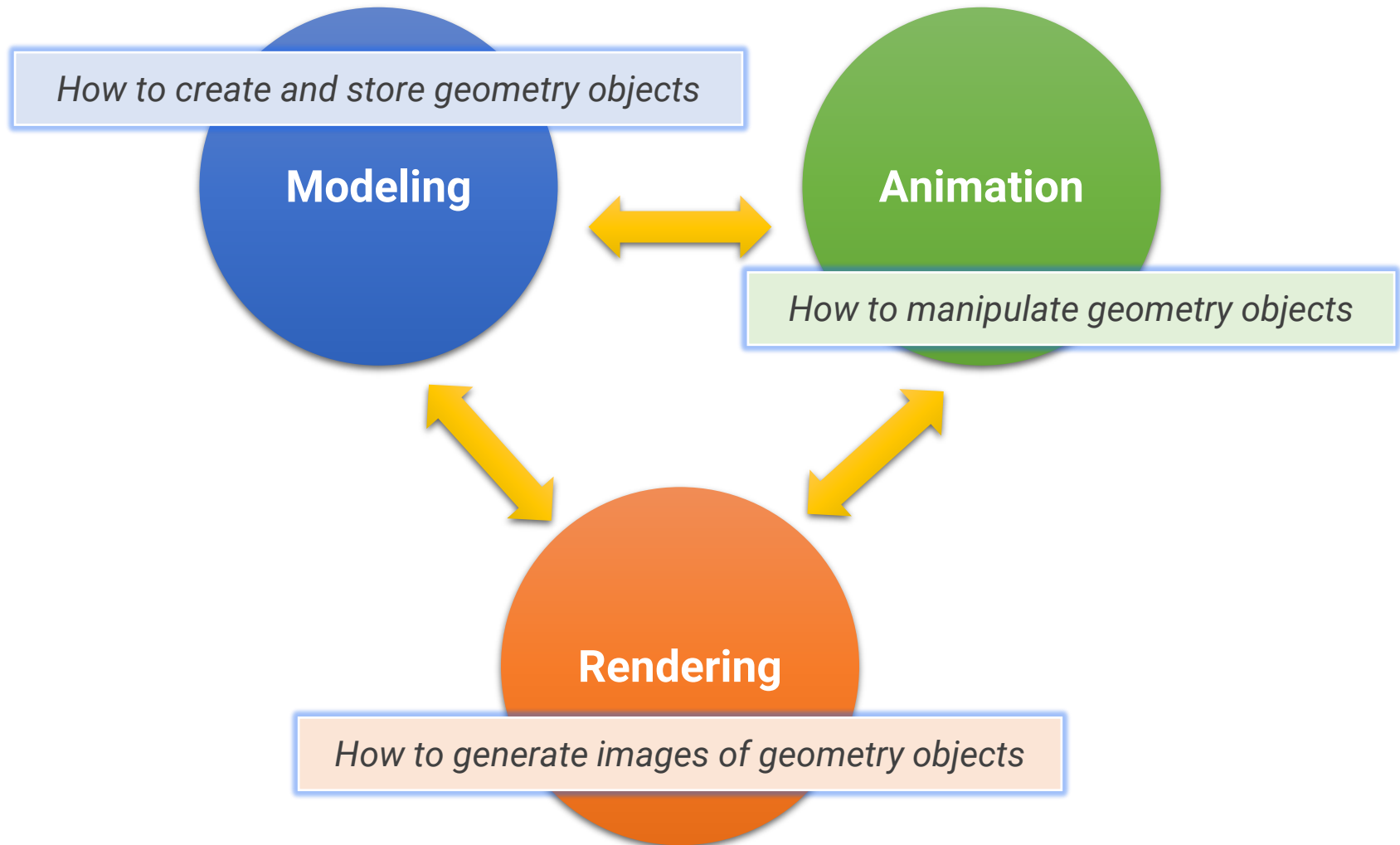
- Computer graphics are pictures and films created using computers
- Computer graphics is the process of creation, storage and manipulation of models and images using data structure and algorithms



we will focus on this

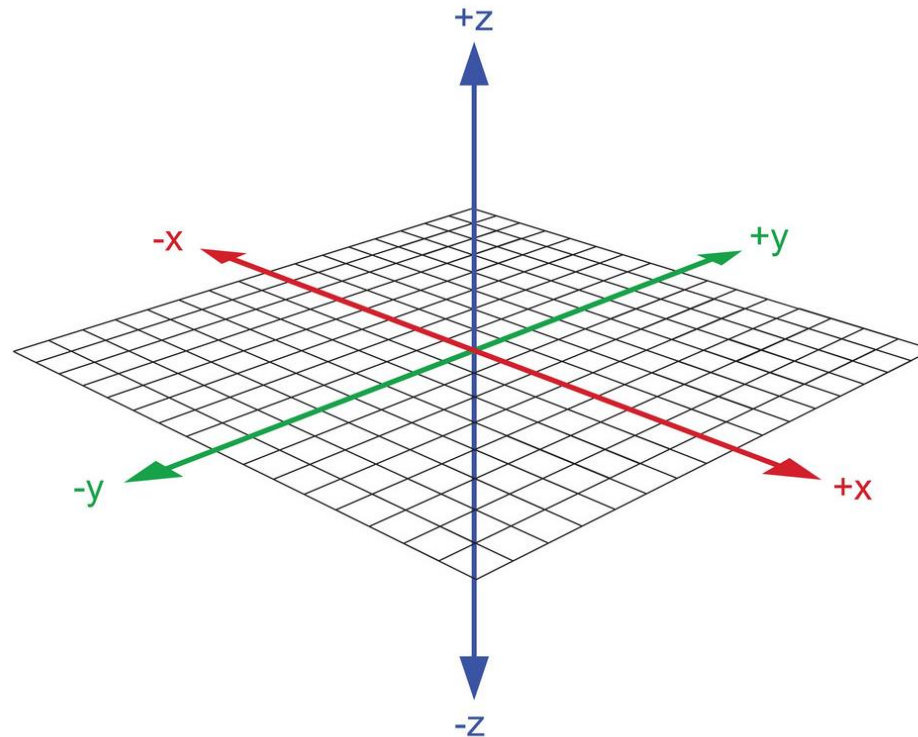


# Major Subfields of Computer Graphics



# Description of a 3D World

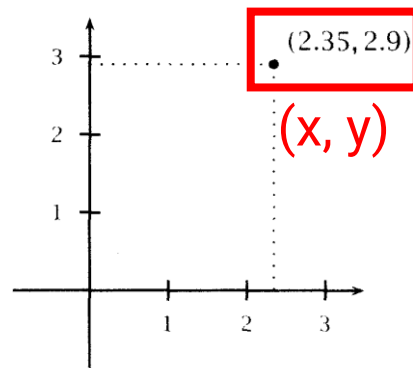
- **3D coordinate system**
  - The formation of x, y, and z axis can be different



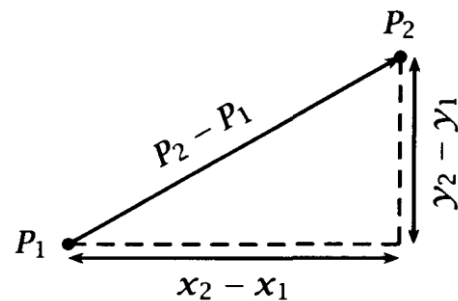
# From 2D to 3D

point

2D

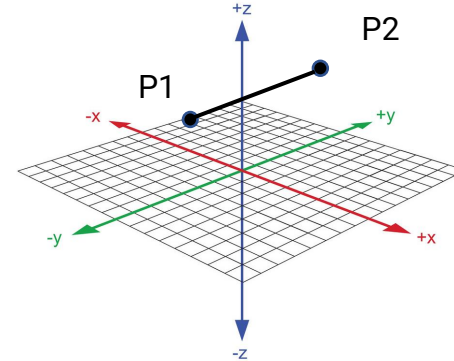
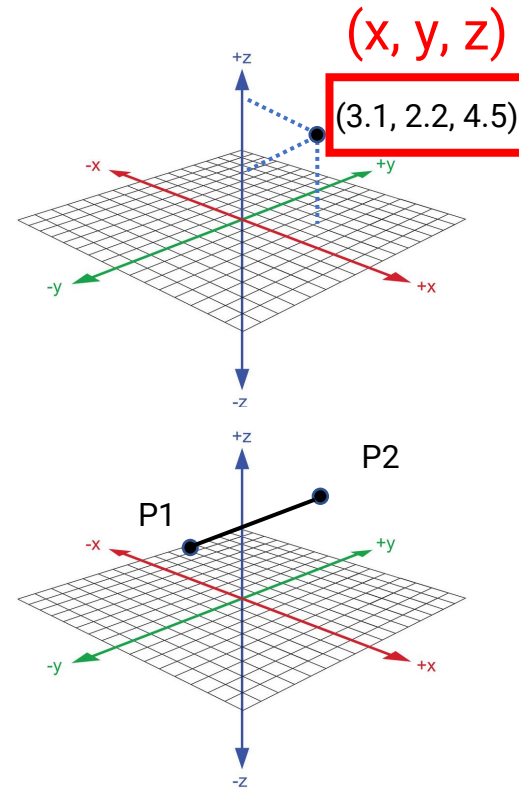


vector



$(x_2 - x_1, y_2 - y_1)$

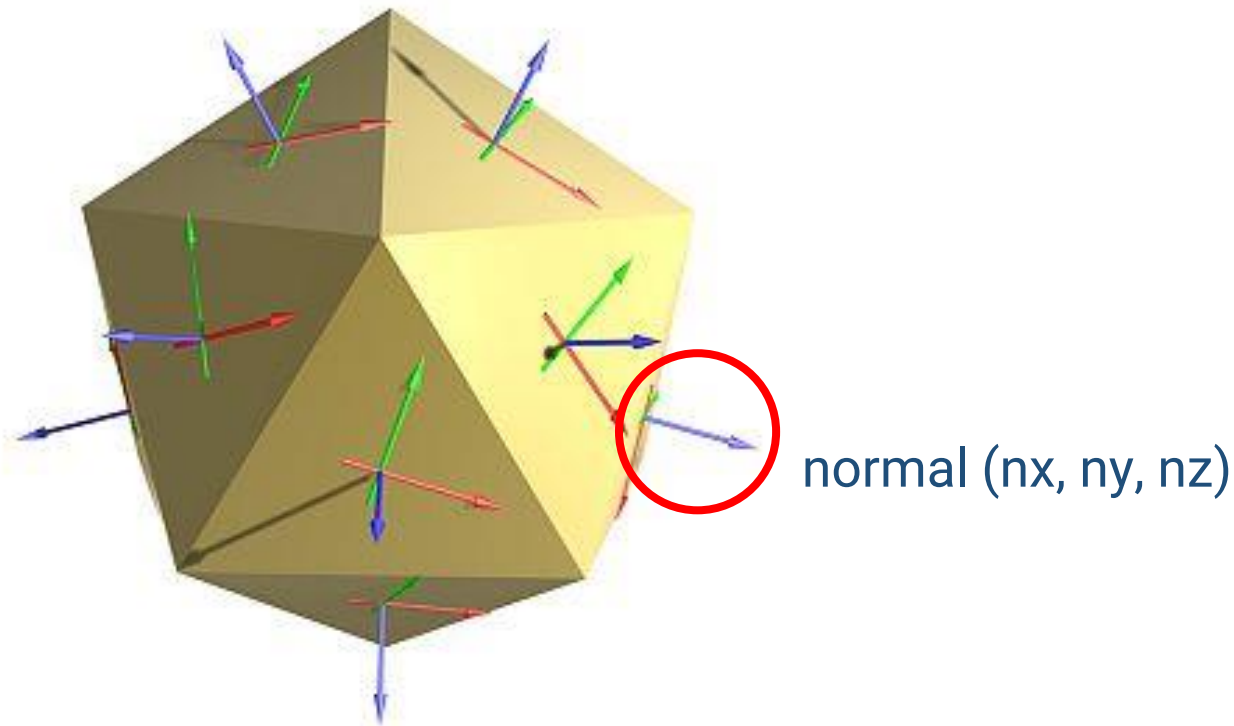
3D



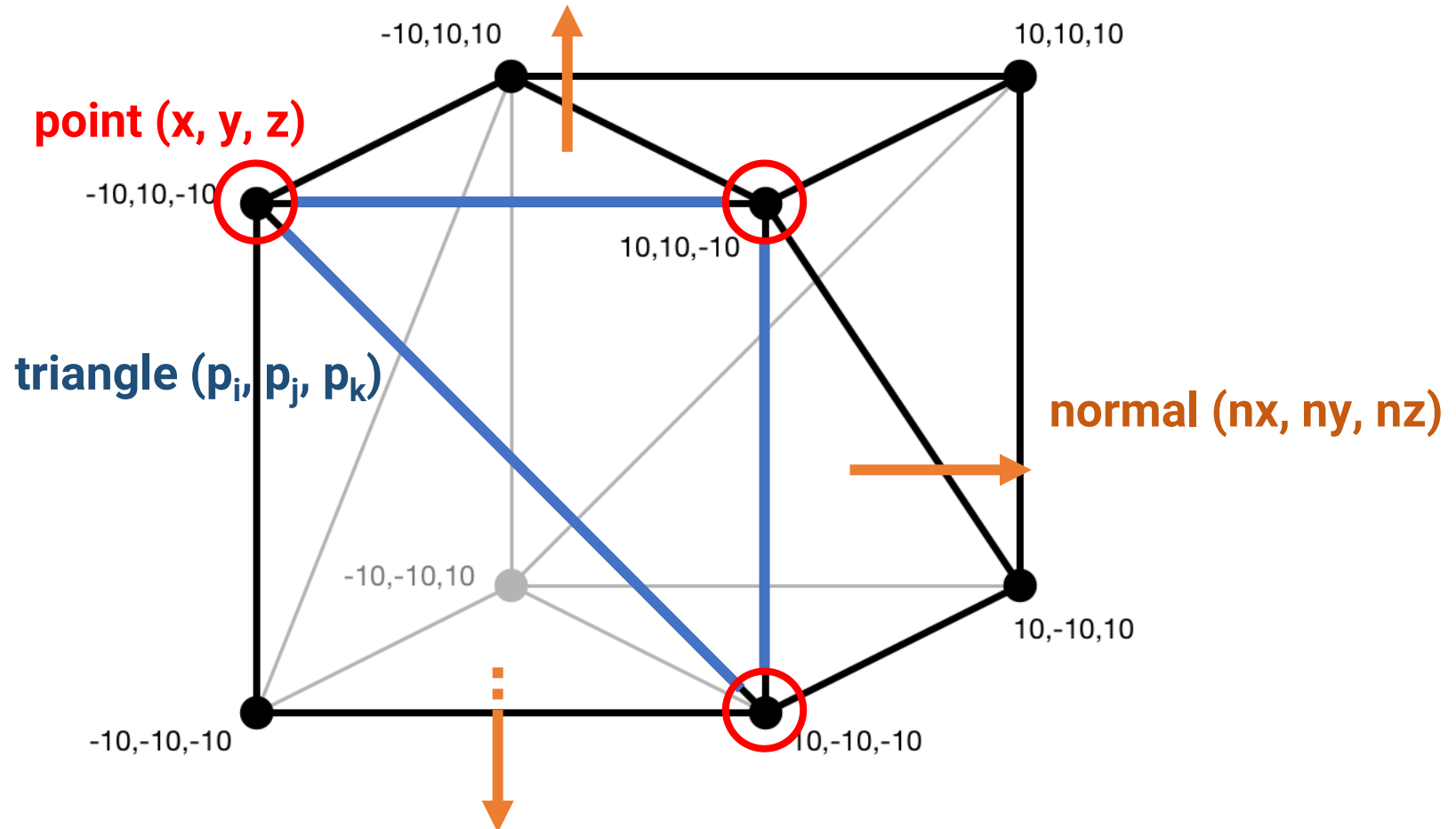
$(x_2 - x_1, y_2 - y_1, z_2 - z_1)$

# Surface Normal

- A **surface normal** is a vector that is **perpendicular** to a surface at a particular position

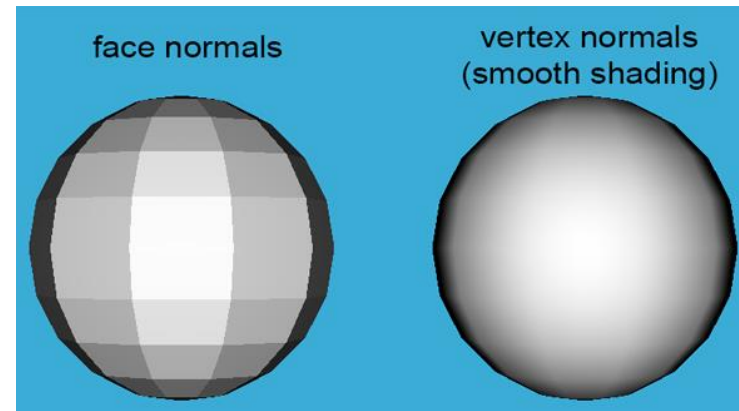
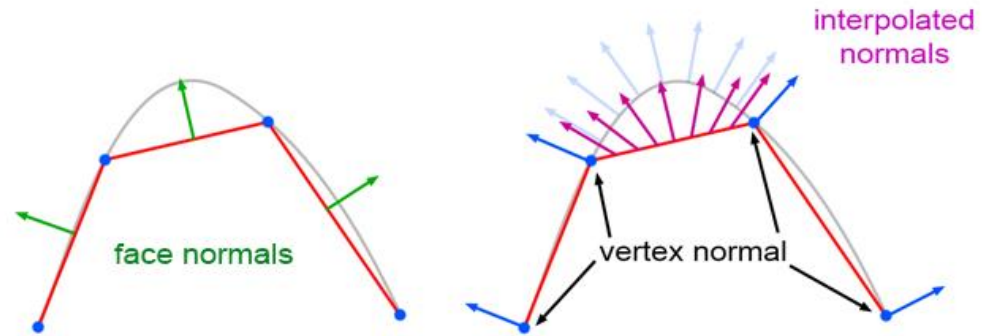
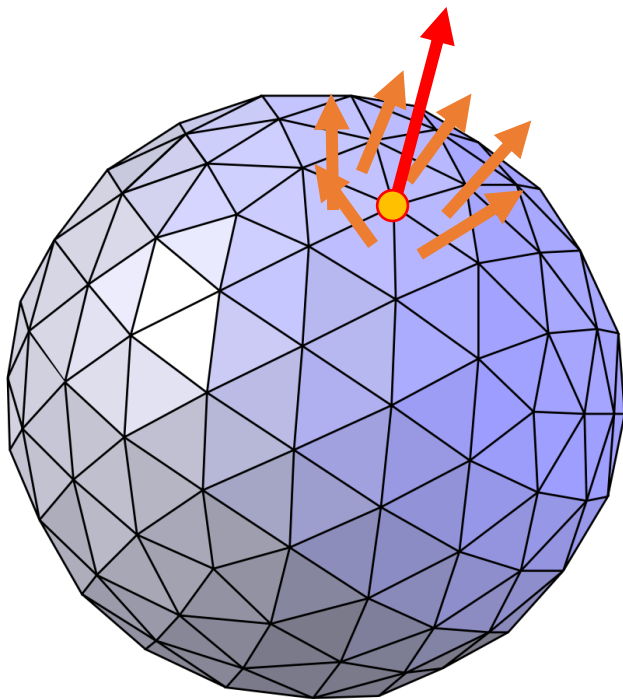


# Points, Normals, and Triangles



# Vertex Normal

- Sometimes we also define the normal of a vertex by averaging the surface normals of its adjacent faces for **smooth shading**

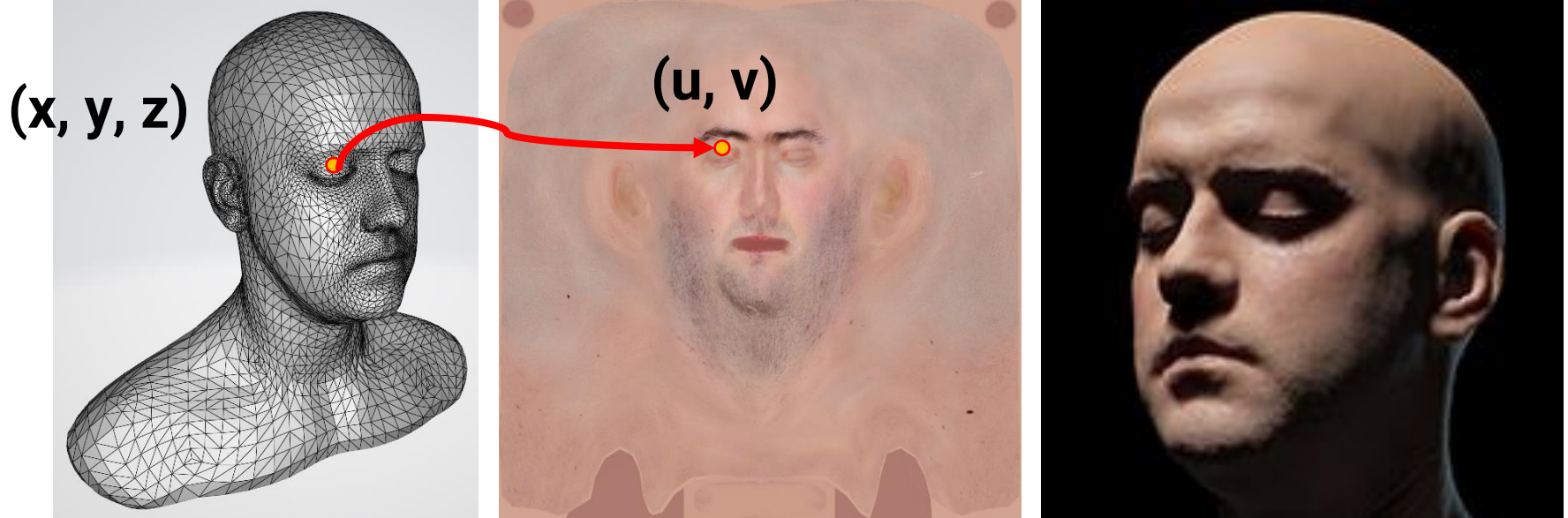




# Texture Coordinate

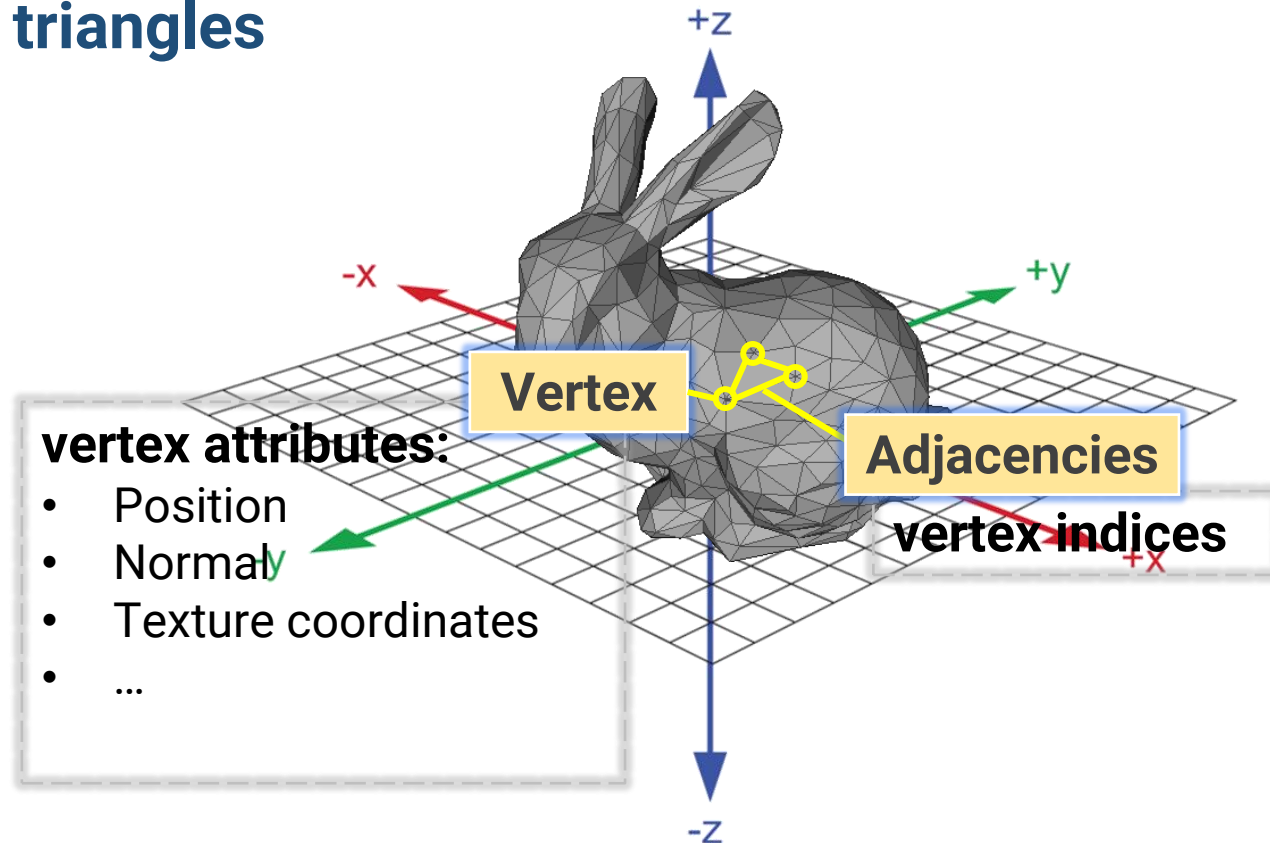
- **Texture**

- Used to represent spatially-varying data
- Decouple materials from geometry
- Need a mapping from 3D ( $xyz$ , object space) to 2D ( $uv$ , image space), called **texture coordinate**



# Description of an 3D Object

- We will focus on triangle mesh today
- Define the **position**, **normal**, and **texture coordinate** on the **vertices of triangles**



# Common 3D Model Format

- **Wavefront (\*.obj)**
- Polygon file format (\*.ply)
- **Filmbox (\*.fbx)**
- MAX (\*.max)
- Digital Asset Exchange File (\*.dae)
- STereoLithography (\*.stl)

# Wavefront (OBJ)

```
mtllib default.mtl
```

**material file**

```
v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 0.5
v -0.5 -0.5 -0.5
```

**vertex  
position**

```
vt 0 1
vt 0 0
vt 1 0
vt 1 1
```

**vertex  
texture  
coordinate**

```
vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 0 -1
vn 0 0 1
vn 0 -1 0
```

**vertex  
normal**

```
g cube
usemtl default
```

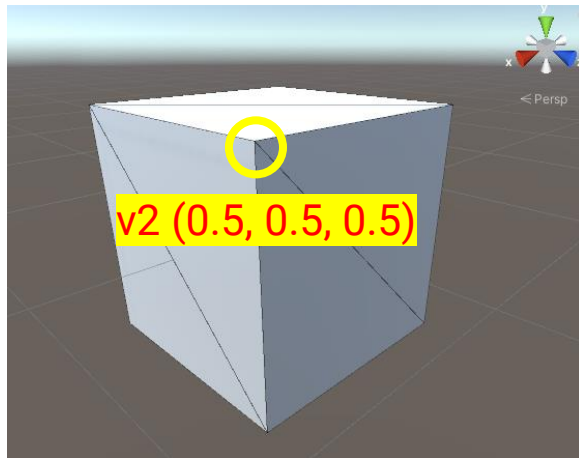
**group name  
group  
material**

```
f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
```

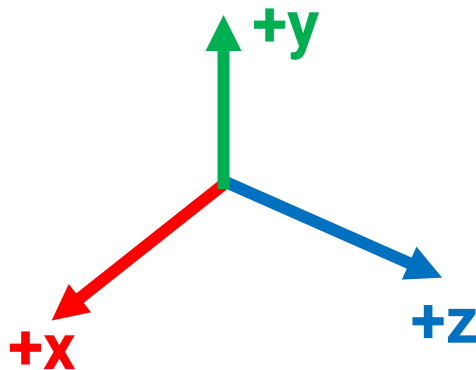
**face  
adjacencies**

cube.obj

# The Simplest OBJ File



a unit cube



vertex position x y z

```

1 v 0.5 0.5 -0.5
2 v 0.5 0.5 0.5
3 v -0.5 0.5 0.5
4 v -0.5 0.5 -0.5
5 v 0.5 -0.5 -0.5
6 v 0.5 -0.5 0.5
7 v -0.5 -0.5 0.5
8 v -0.5 -0.5 -0.5
  
```

```

mtllib default.mtl
v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 0.5
v -0.5 -0.5 -0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

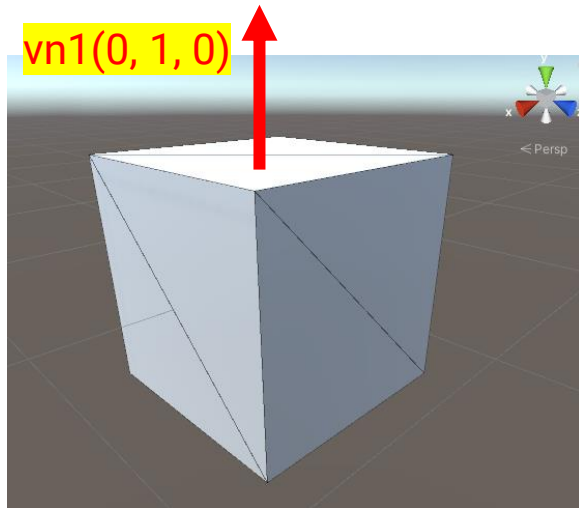
vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 0 -1
vn 0 0 1
vn 0 -1 0

g cube
usemtl default

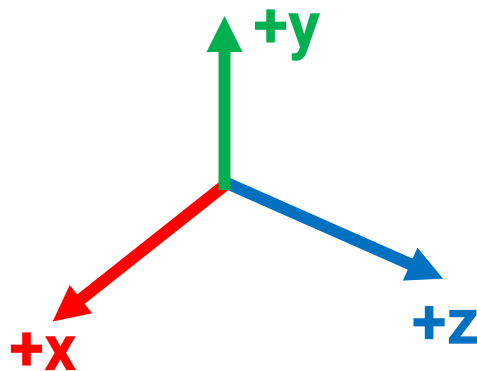
f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
  
```

cube.obj

# The Simplest OBJ File (cont.)



a unit cube



vertex normal nx ny nz

```

1  vn 0 1 0
2  vn -1 0 0
3  vn 1 0 0
4  vn 0 0 -1
5  vn 0 0 1
6  vn 0 -1 0
  
```

```

mtllib default.mtl

v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 0.5
v -0.5 -0.5 -0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

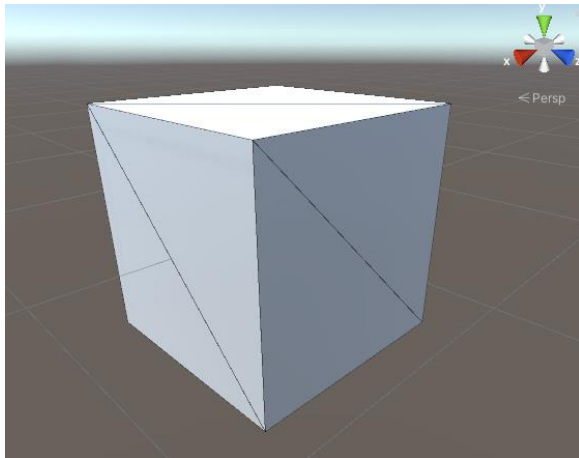
vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 0 -1
vn 0 0 1
vn 0 -1 0

g cube
usemtl default

f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
  
```

cube.obj

# The Simplest OBJ File (cont.)

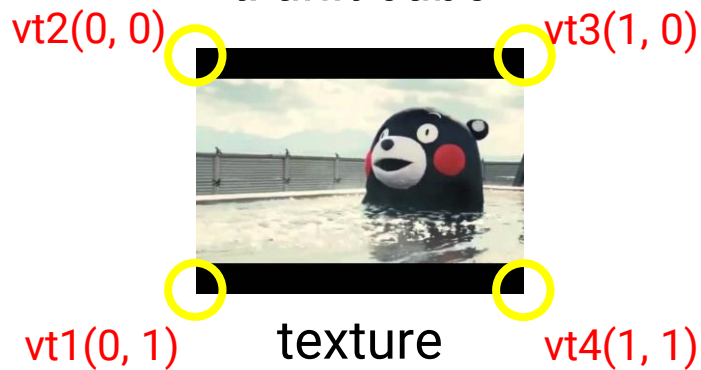


a unit cube

vertex texture  
coordinate

u v

1	vt 0 1
2	vt 0 0
3	vt 1 0
4	vt 1 1



```
mtllib default.mtl

v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 0.5
v -0.5 -0.5 -0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

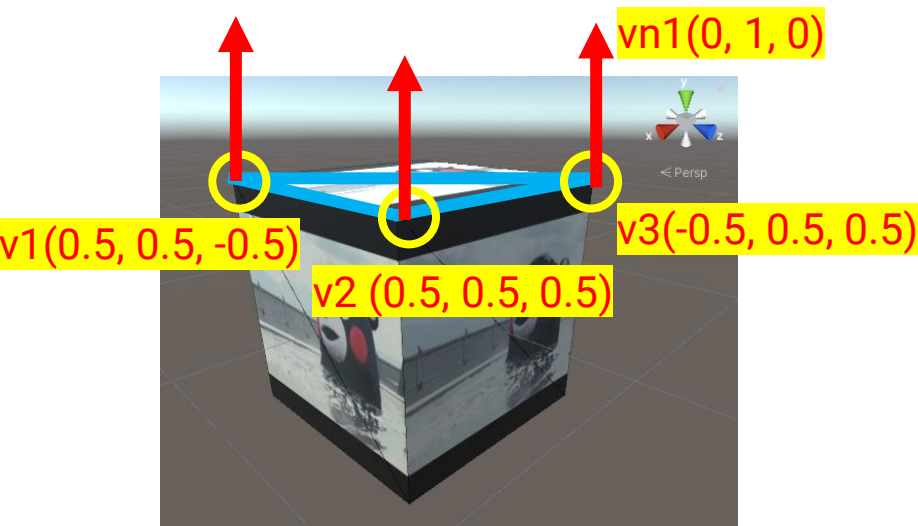
vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 0 -1
vn 0 0 1
vn 0 -1 0

g cube
usemtl default

f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
```

cube.obj

# The Simplest OBJ File (cont.)



face adjacency  
v1/vt1/vn1  
(index)

f	8/4/6	7/3/6	6/2/6
f	8/4/6	6/2/6	5/1/6
f	8/4/5	4/3/5	3/2/5
f	8/4/5	3/2/5	7/1/5
f	6/4/4	2/3/4	1/2/4
f	6/4/4	1/2/4	5/1/4
f	5/4/3	1/3/3	4/2/3
f	5/4/3	4/2/3	8/1/3
f	7/4/2	3/3/2	2/2/2
f	7/4/2	2/2/2	6/1/2
f	3/4/1	4/3/1	1/2/1
f	3/4/1	1/2/1	2/1/1

```
mtllib default.mtl

v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 0.5
v -0.5 -0.5 -0.5

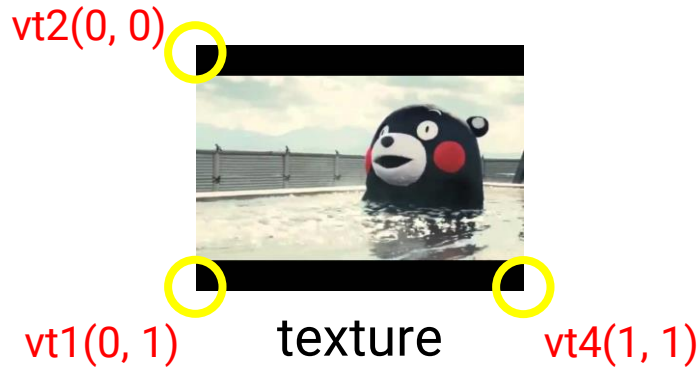
vt 0 1
vt 0 0
vt 1 0
vt 1 1

vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 0 -1
vn 0 0 1
vn 0 -1 0

g cube
usemtl default

f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
```

a unit cube



cube.obj



# Play with Mesh Viewer

- There are lots of free models on the internet

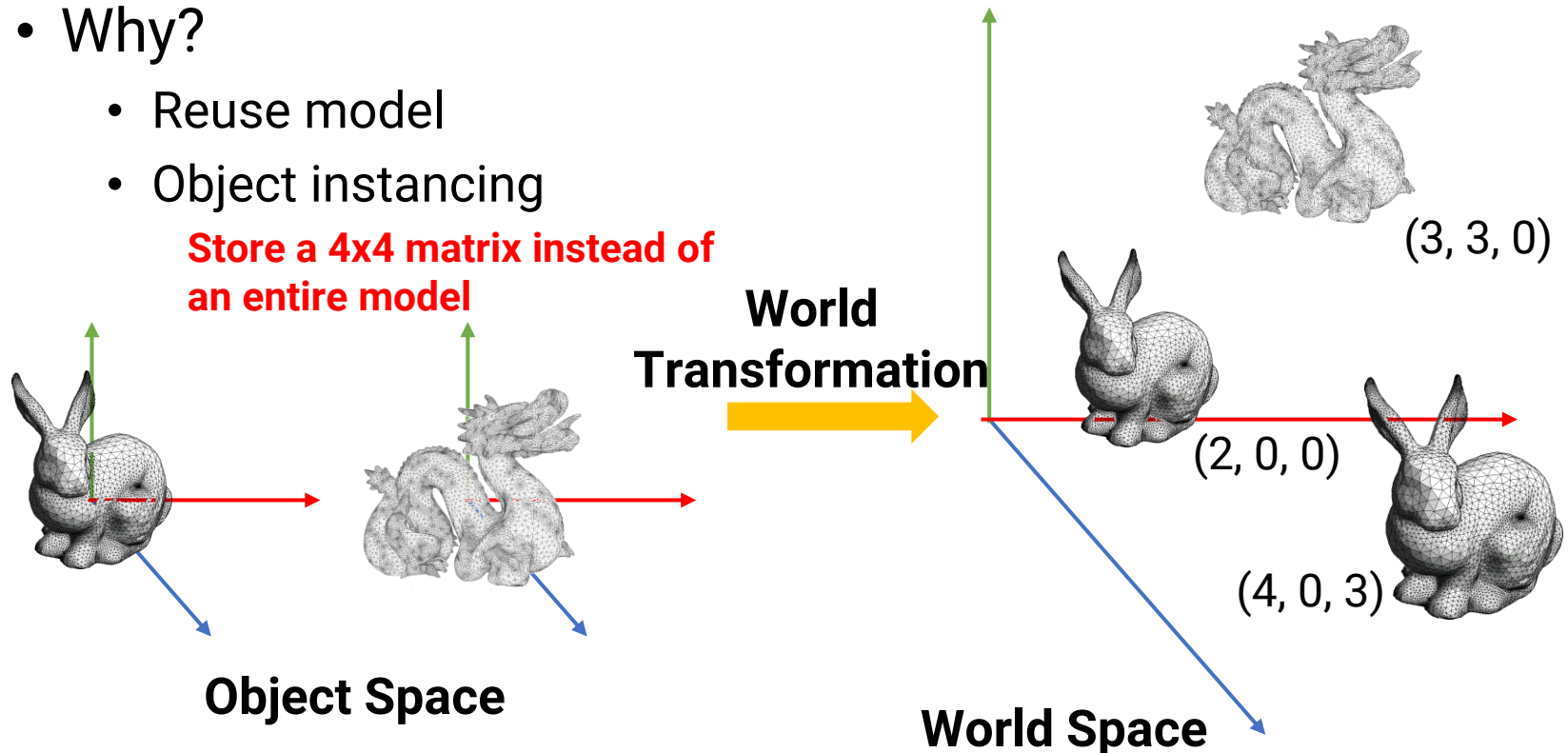


- Try to download one and play with it in the viewer
- Free mesh viewer
  - Windows 3D Viewer
  - Meshlab <https://www.meshlab.net/>
  - Blender (modeling tool) <https://www.blender.org/>
  - Unity (game engine)
  - Unreal engine (game engine)

# Object Space and World Space

- Shapes (or objects) are defined in **object space** and transformed to **world space**
- Why?
  - Reuse model
  - Object instancing

Store a 4x4 matrix instead of an entire model



# Object Space and World Space (cont.)

- Demo with Unity

# Recap: 2D Transformation

- Using **3x3 matrix** allows us to perform all transformations using matrix/vector multiplications
  - We can also **pre-multiply** all the matrices together
- We call the  $(x, y, 1)$  representation for  $(x, y)$  **homogeneous coordinate**

$$\text{Translation} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$\text{Scaling} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$\text{Rotation} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

# 3D Transformation

- A 3D transformation  $T$  is represented as a **4x4 matrix**, with **homogeneous coordinate**

Translation

$$\begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}$$

Scaling

$$\begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Rotation

$$\begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

2D

$$\begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

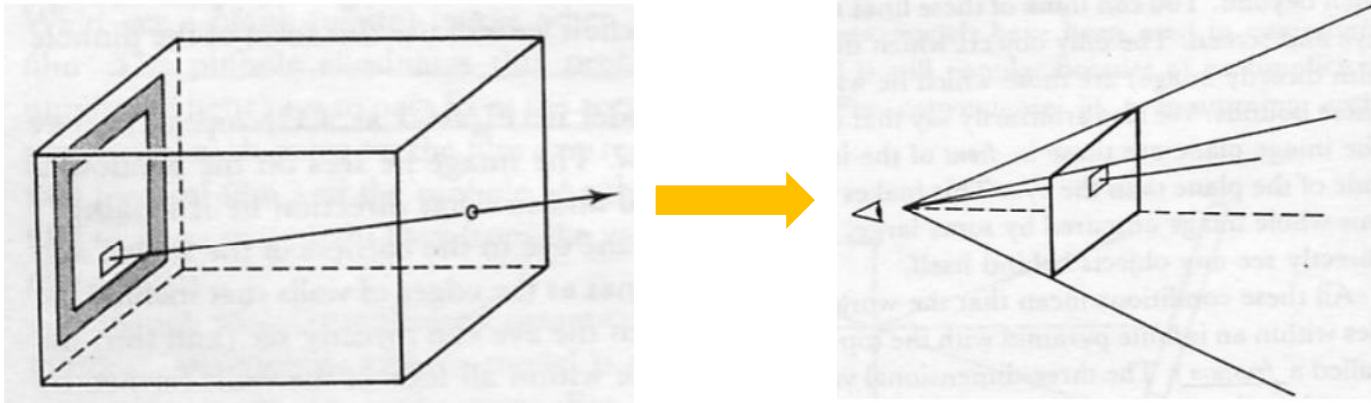
$$\begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & t_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} \cos(v) & -\sin(v) & 0 & 0 \\ \sin(v) & \cos(v) & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

3D

# (Virtual) Camera

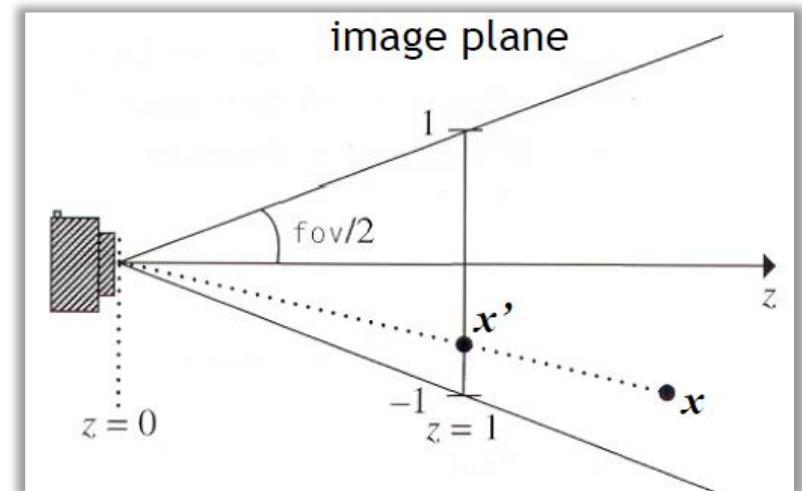
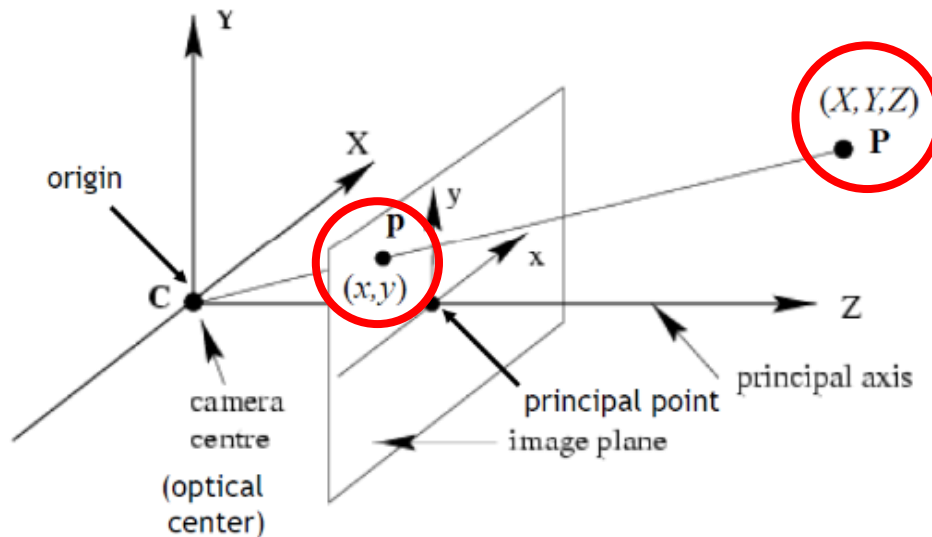
- For most cases in computer graphics, we use a **perspective pinhole camera model** for its simplicity



The virtual film is placed **in front of** the camera for avoiding **up-side-down** image

# Perspective Pinhole Camera Model

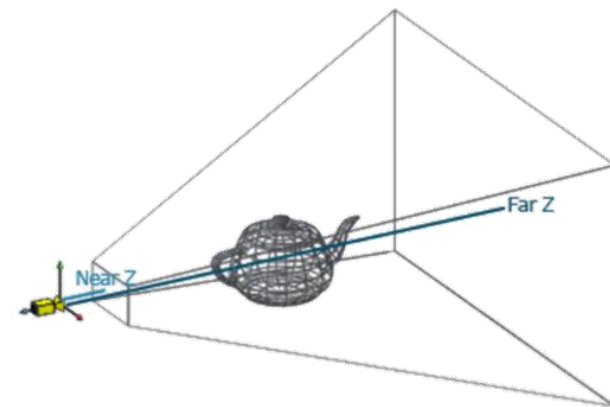
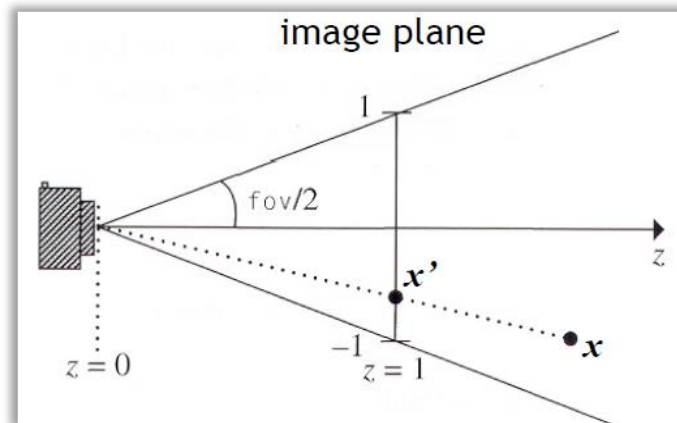
- Assume the camera is located at **origin** and look to **+Z** (or another axis depends on the graphics system)



# Perspective Pinhole Camera Model (cont.)

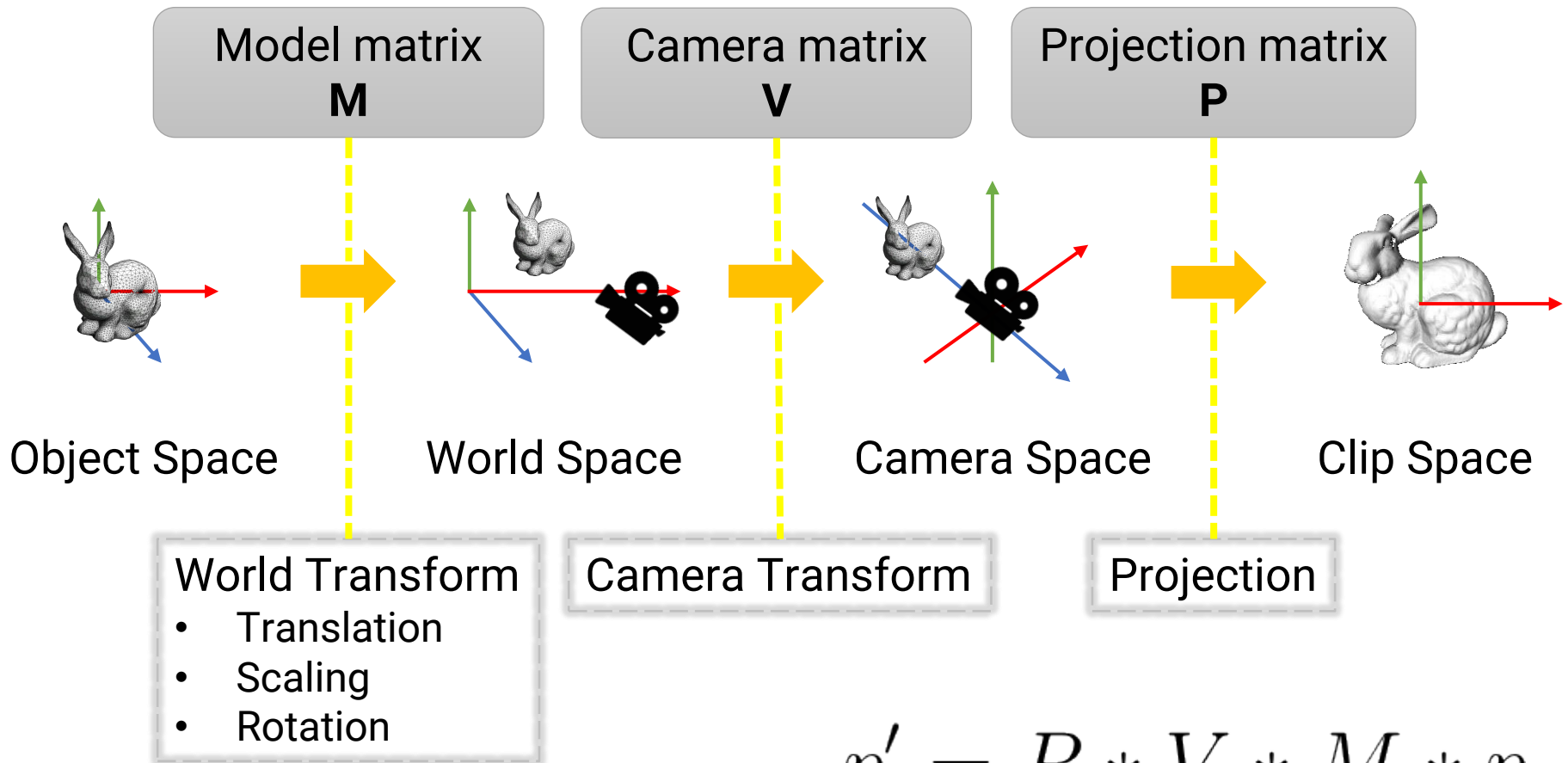
- 3D points can be projected on the virtual film by using a projection matrix

$$\begin{bmatrix} \tan^{-1}\left(\frac{\text{FOV}_x}{2}\right) & 0 & 0 & 0 \\ 0 & \tan^{-1}\left(\frac{\text{FOV}_y}{2}\right) & 0 & 0 \\ 0 & 0 & \frac{Z_{\text{far}}}{Z_{\text{far}} - Z_{\text{near}}} & -\frac{Z_{\text{far}}Z_{\text{near}}}{Z_{\text{far}} - Z_{\text{near}}} \\ 0 & 0 & 1 & 0 \end{bmatrix}$$





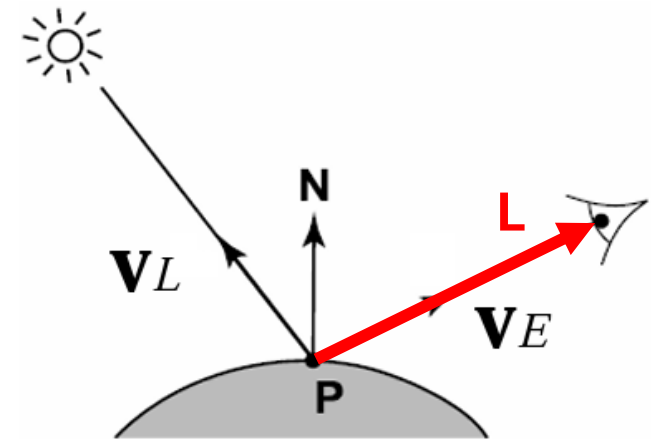
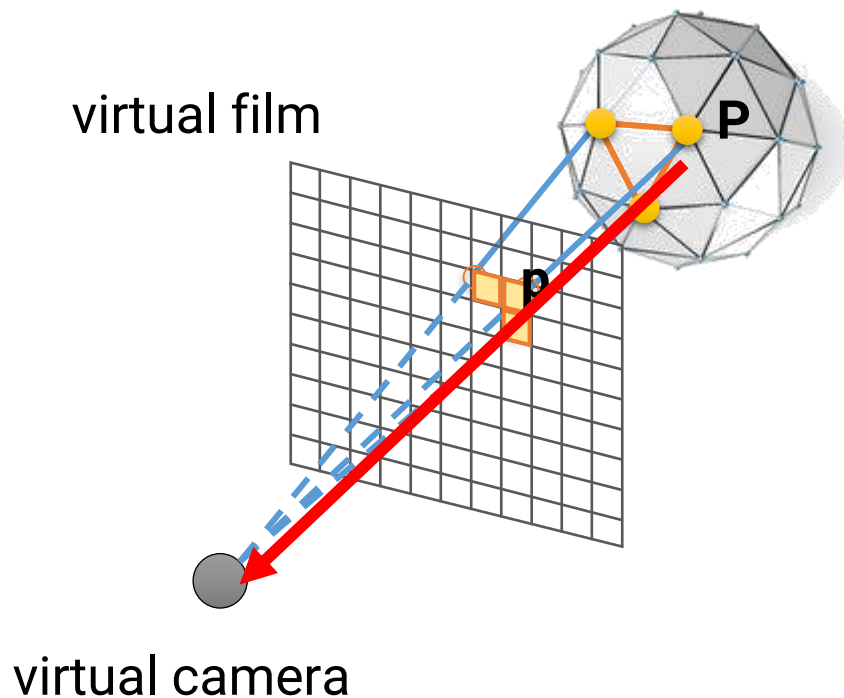
# Transform between Spaces



$$p' = P * V * M * p$$

# Shading

- Simulate the **interaction** between a **light** and a **surface point**



Point  $\mathbf{P}$  on a surface through a pixel  $\mathbf{p}$

Normal  $\mathbf{N}$  at  $\mathbf{P}$

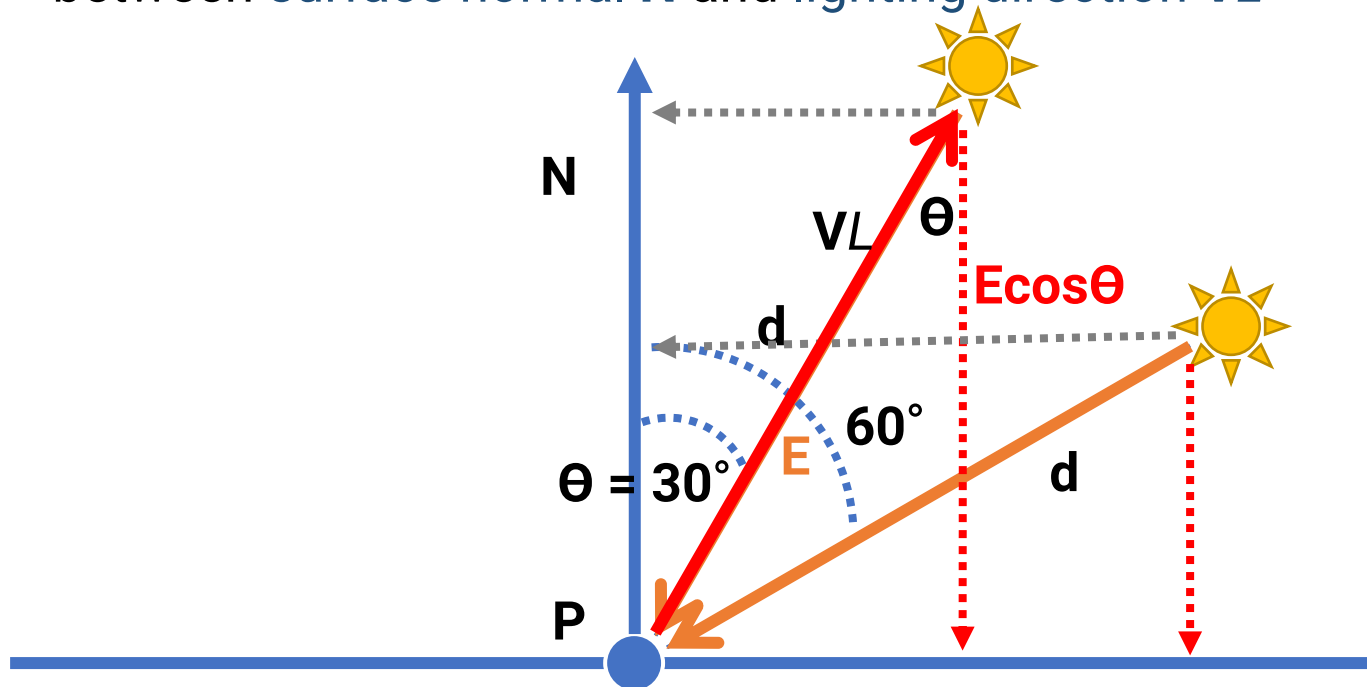
Lighting direction  $\mathbf{V}_L$

Viewing direction  $\mathbf{V}_E$

**Goal: compute color  $\mathbf{L}$  for pixel  $\mathbf{p}$**

# Lambertian Term

- Assume the two lights have equal intensity and equal distance to **P**, which light can contribute more to the point **P**?
  - The contribution is proportional to  $\cos\theta$ , where  $\theta$  is the angle between surface normal **N** and lighting direction **VL**

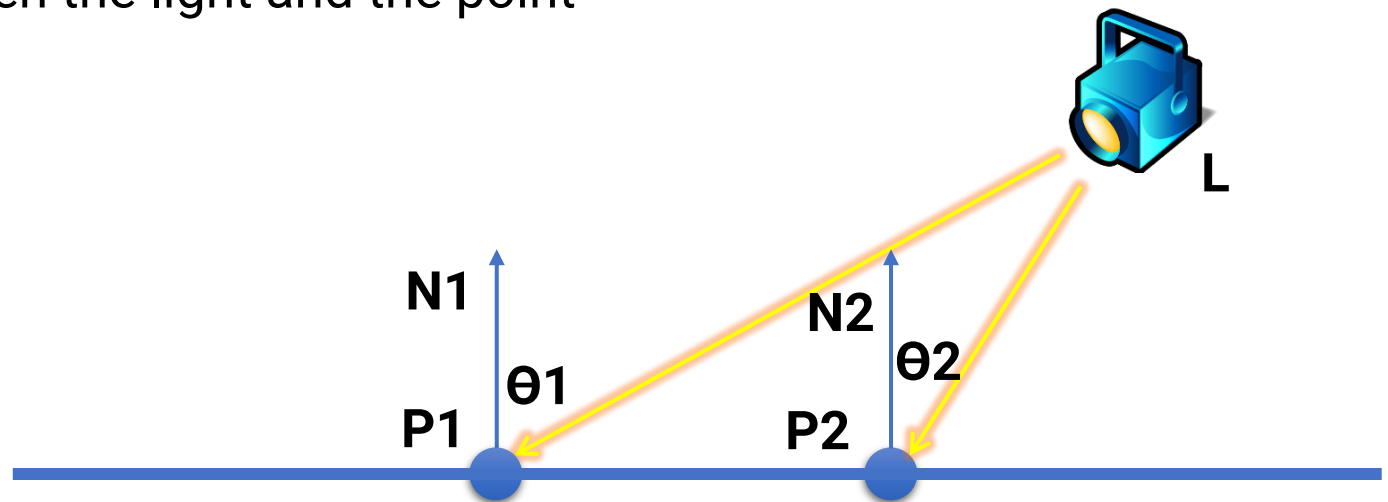


# Virtual Lights in Computer Graphics

- Point light
  - Spot light
  - Area light
  - Directional light
  - Environment light
- local lights
- distant lights
- 
- A diagram showing a list of light types on the left. The first three items (Point light, Spot light, Area light) are grouped by a blue right-facing curly bracket to the right of the list, with the text 'local lights' positioned to the right of the bracket. The last two items (Directional light, Environment light) are grouped by a blue right-facing curly bracket to the right of the list, with the text 'distant lights' positioned to the right of the bracket.

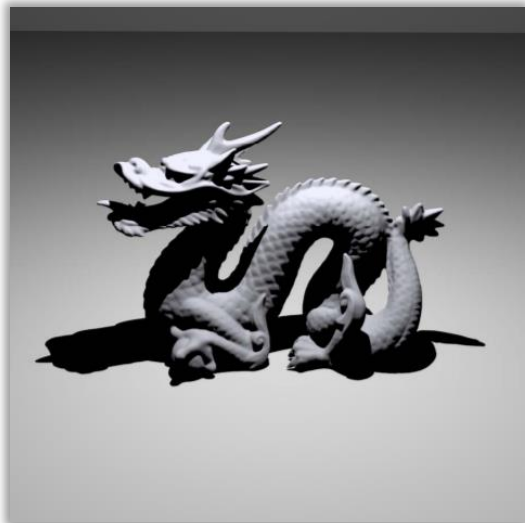
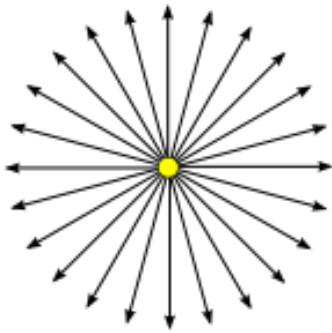
# Local Lights

- The distance between a light and a surface is **not** long enough compared to the scene scale
- The position of a light need to be taken into account during shading
  - **Lighting direction** =  $|L - P|$
  - **Lighting attenuation** is proportional to the square of distance between the light and the point

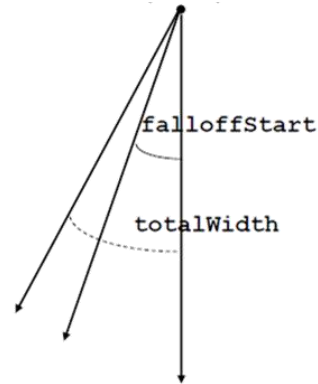


# Local Lights (cont.)

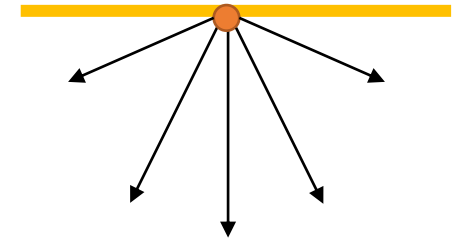
Point Light



Spot Light

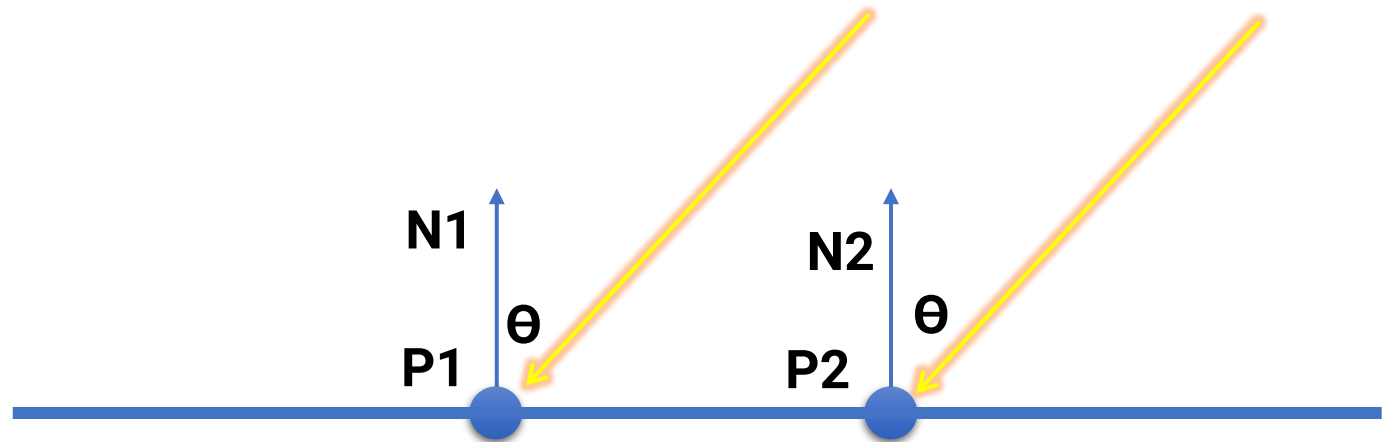


Area Light



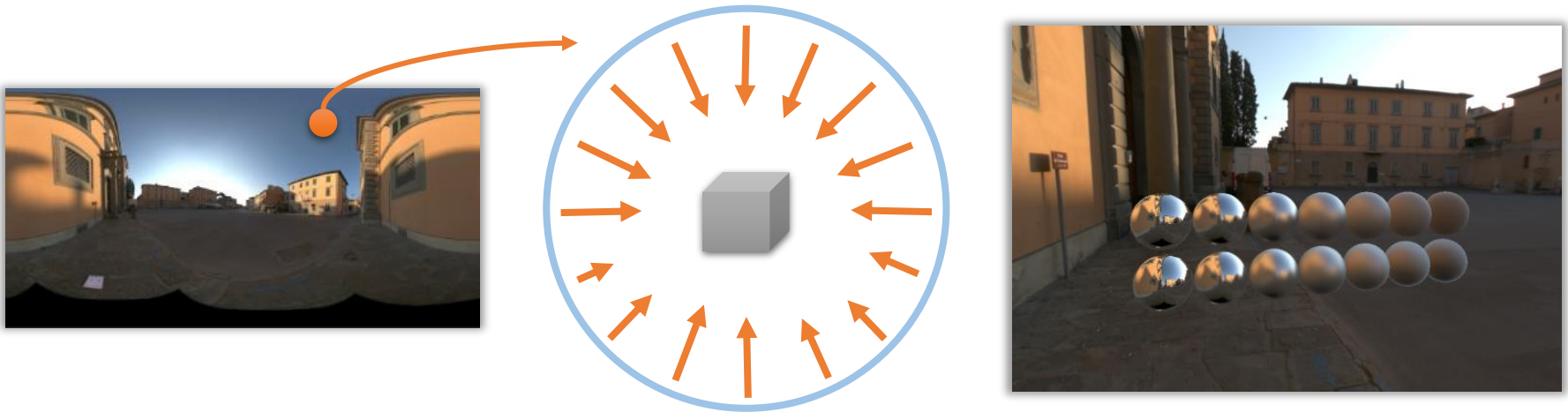
# Distant Lights

- The distance between a light and a surface is long enough compared to the scene scale and **can be ignored**
  - **Lighting direction is fixed**
  - **No lighting attenuation**
- **Directional light (sun)** is the most common distant light



# Environment Light

- Environment light illuminates the scene from a **virtual sphere at infinite distance**
- The spherical energy distribution is usually represented with longitude-latitude images
- Also called **image-based lighting (IBL)**





# Environment Light (cont.)

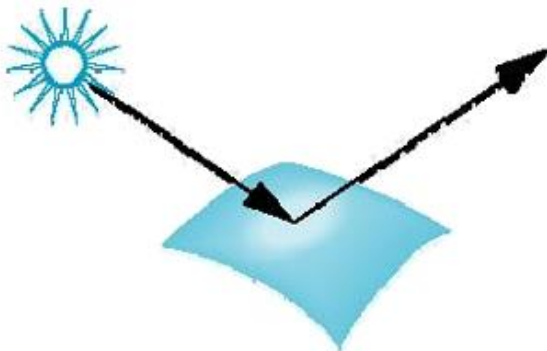
- Widely used in digital visual effects and film production



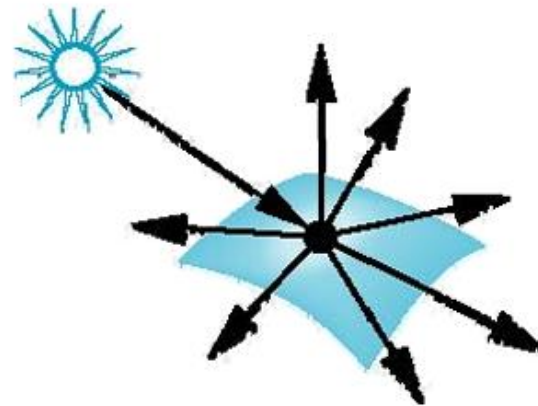
# Materials

- **Surface types**

- The **smoother** a surface, the more reflected light is concentrated in the direction a **perfect mirror** would reflect the light



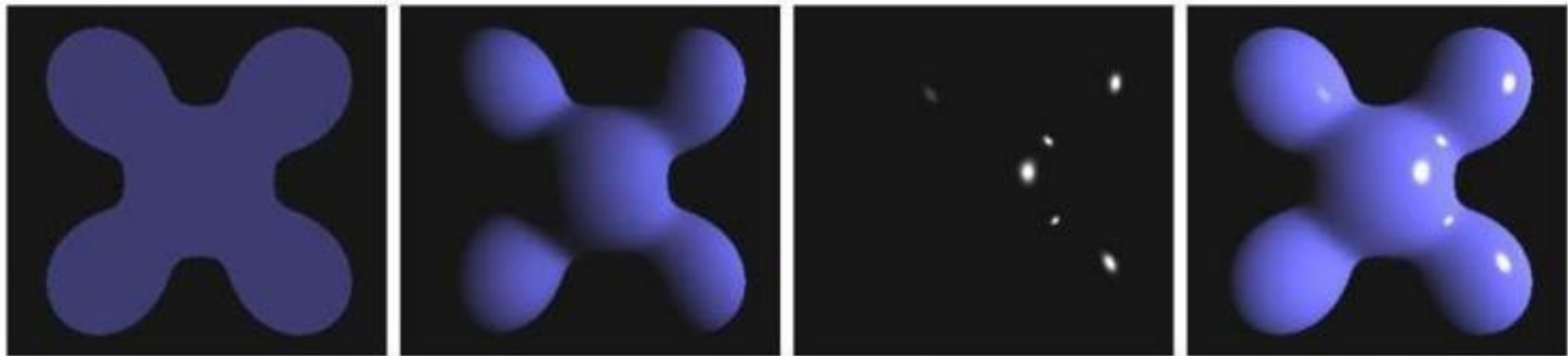
smooth surface



rough surface

# Basics of Local Shading

- **Diffuse reflection**
  - Light goes everywhere; colored by object color
- **Specular reflection**
  - Happens only near mirror configuration; usually white
- **Ambient reflection**
  - Constant accounted for other source of illumination



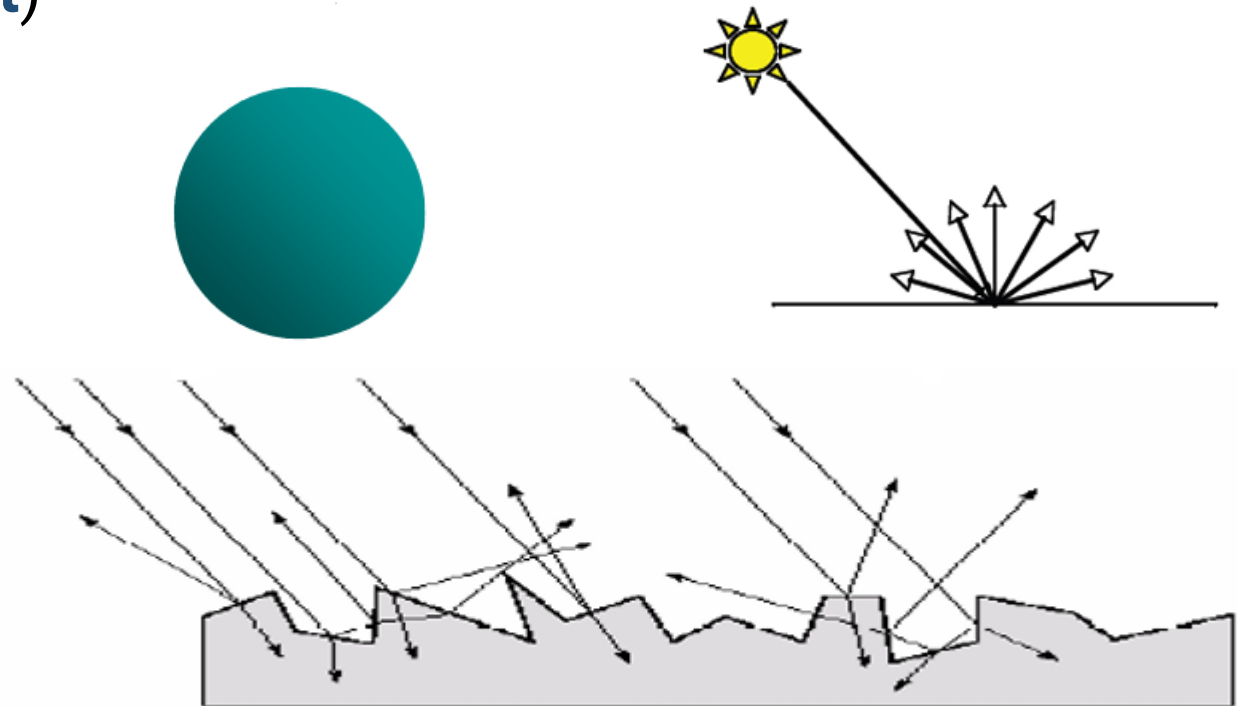
ambient

diffuse

specular

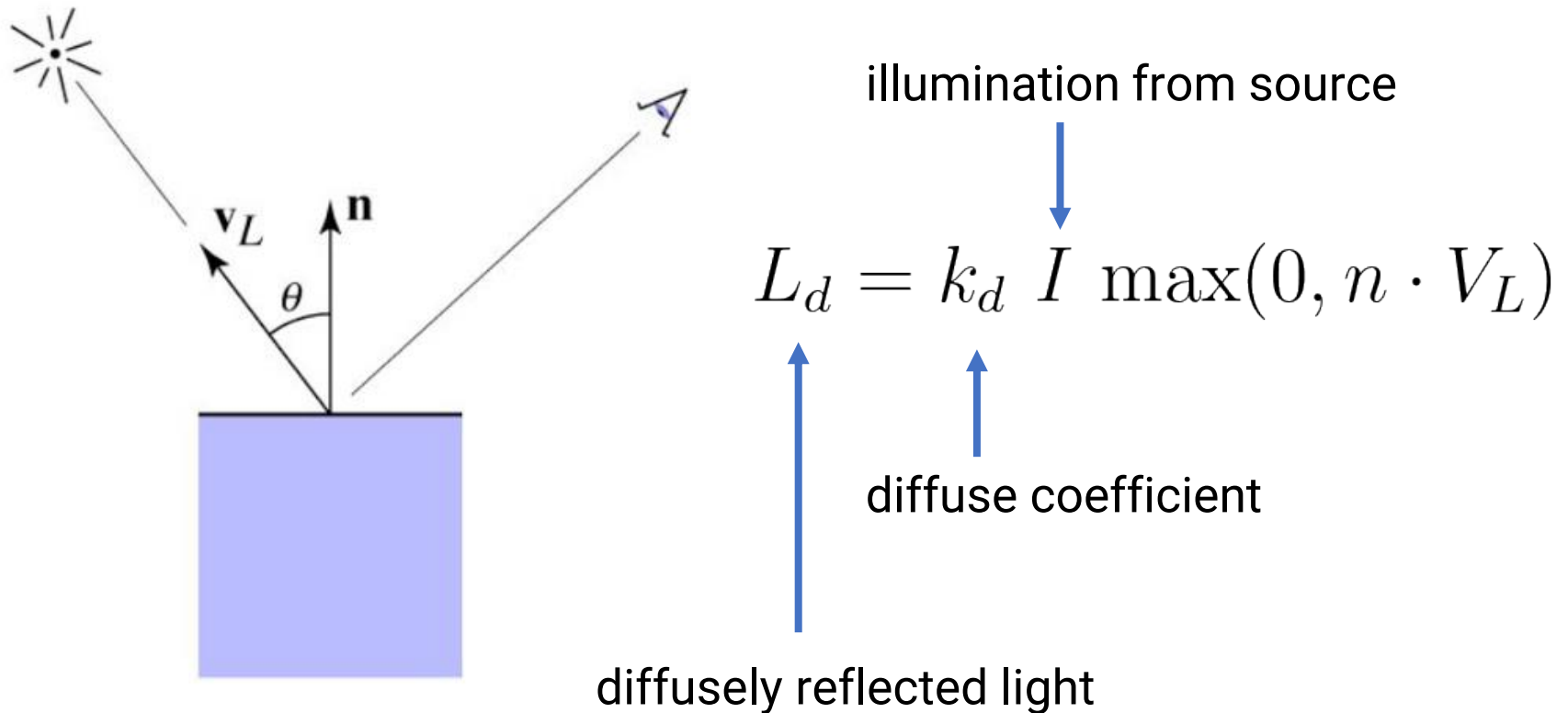
# Diffuse Shading

- Assume light reflects **equally in all directions**
  - The surface is rough with lots of tiny microfacets
- Therefore, surface looks same color from all views (**view independent**)

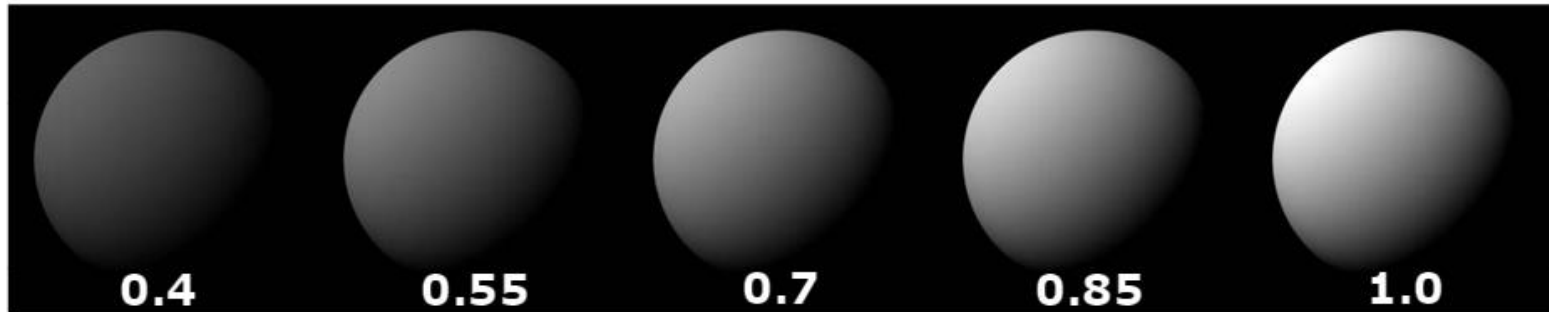


# Diffuse Shading (cont.)

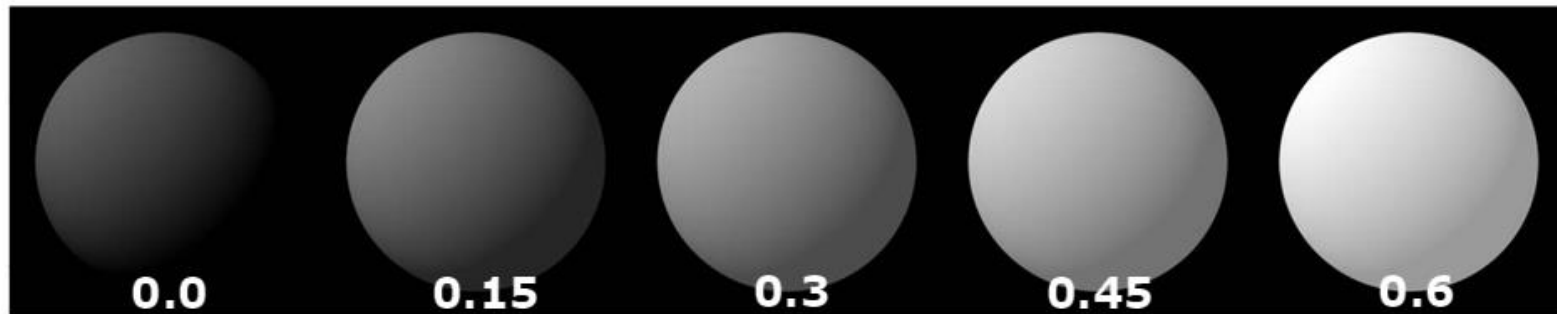
- Applies to diffuse, Lambertian or matte surface



# Diffuse Shading (cont.)



diffuse-reflection model with different  $k_d$



ambient and diffuse-reflection model with different  $k_a$

$$I_a = 1.0 \quad k_d = 0.4$$

# Diffuse Shading (cont.)

- For color objects, apply the formula for each color channel separately
- Light can also be non-white

Example:

**white light:** (0.9, 0.9, 0.9)

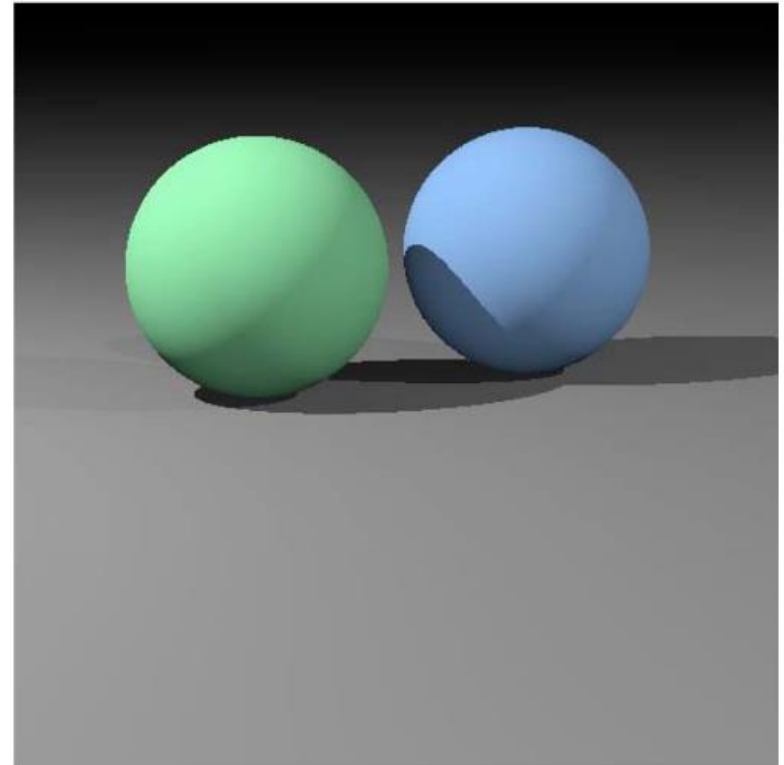
**yellow light:** (0.8, 0.8, 0.2)

$$L_d = k_d I \max(0, n \cdot V_L)$$

Example:

**green ball:** (0.2, 0.7, 0.2)

**blue ball:** (0.2, 0.2, 0.7)





# Specular Shading

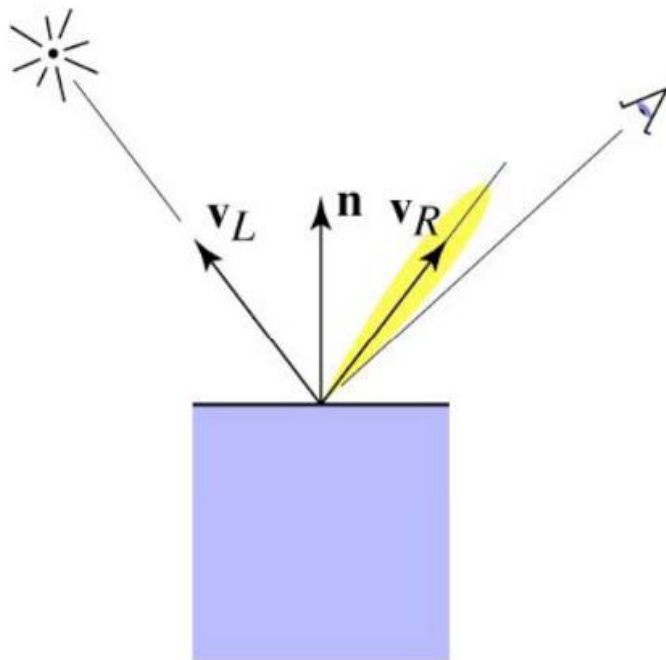
- Some surfaces have highlights, mirror-like reflection
- **View direction dependent**
- Especially obvious for smooth shiny surfaces





# Specular Shading (cont.)

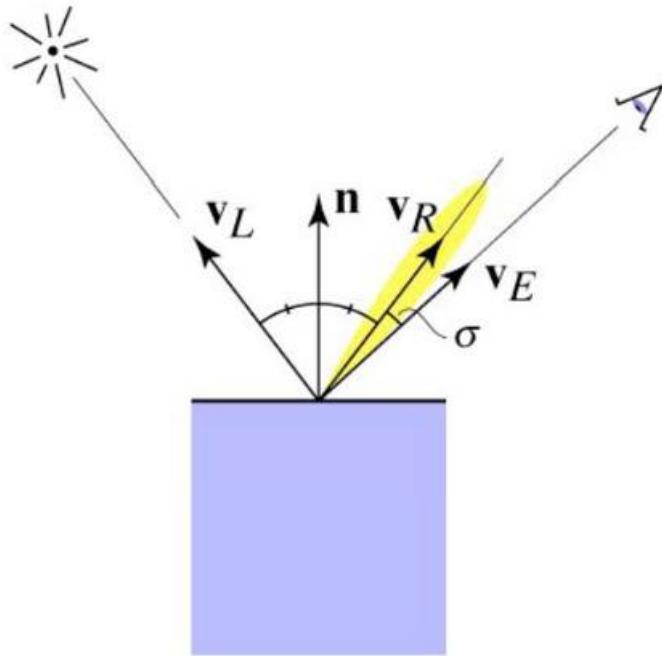
- Also known as glossy
- Phong specular model [1975]



$$\begin{aligned} V_R &= V_L + 2((\mathbf{n} \cdot V_L) \mathbf{n} - V_L) \\ &= 2(\mathbf{n} \cdot V_L) \mathbf{n} - V_L \end{aligned}$$

# Specular Shading (cont.)

- Also known as glossy
- Phong specular model [1975]
  - Fall off gradually from the perfect reflection direction



$$\begin{aligned} V_R &= V_L + 2((\mathbf{n} \cdot V_L) \mathbf{n} - V_L) \\ &= 2(\mathbf{n} \cdot V_L) \mathbf{n} - V_L \end{aligned}$$

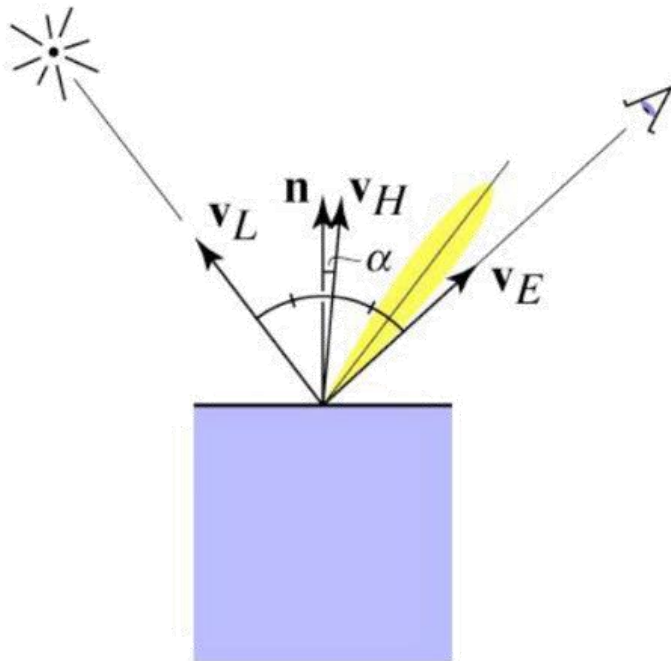
$$\begin{aligned} L_s &= k_s I \max(0, \cos\sigma)^n \\ &= k_s I \max(0, V_E \cdot V_R)^n \end{aligned}$$

↑  
specular coefficient

↑  
specularly reflected light

# Specular Shading (cont.)

- Phong variant: **Blinn-Phong**
  - Rather than computing reflection directly; just compare to normal bisection property
  - One can prove  $\cos^n(\sigma) = \cos^{4n}(\alpha)$

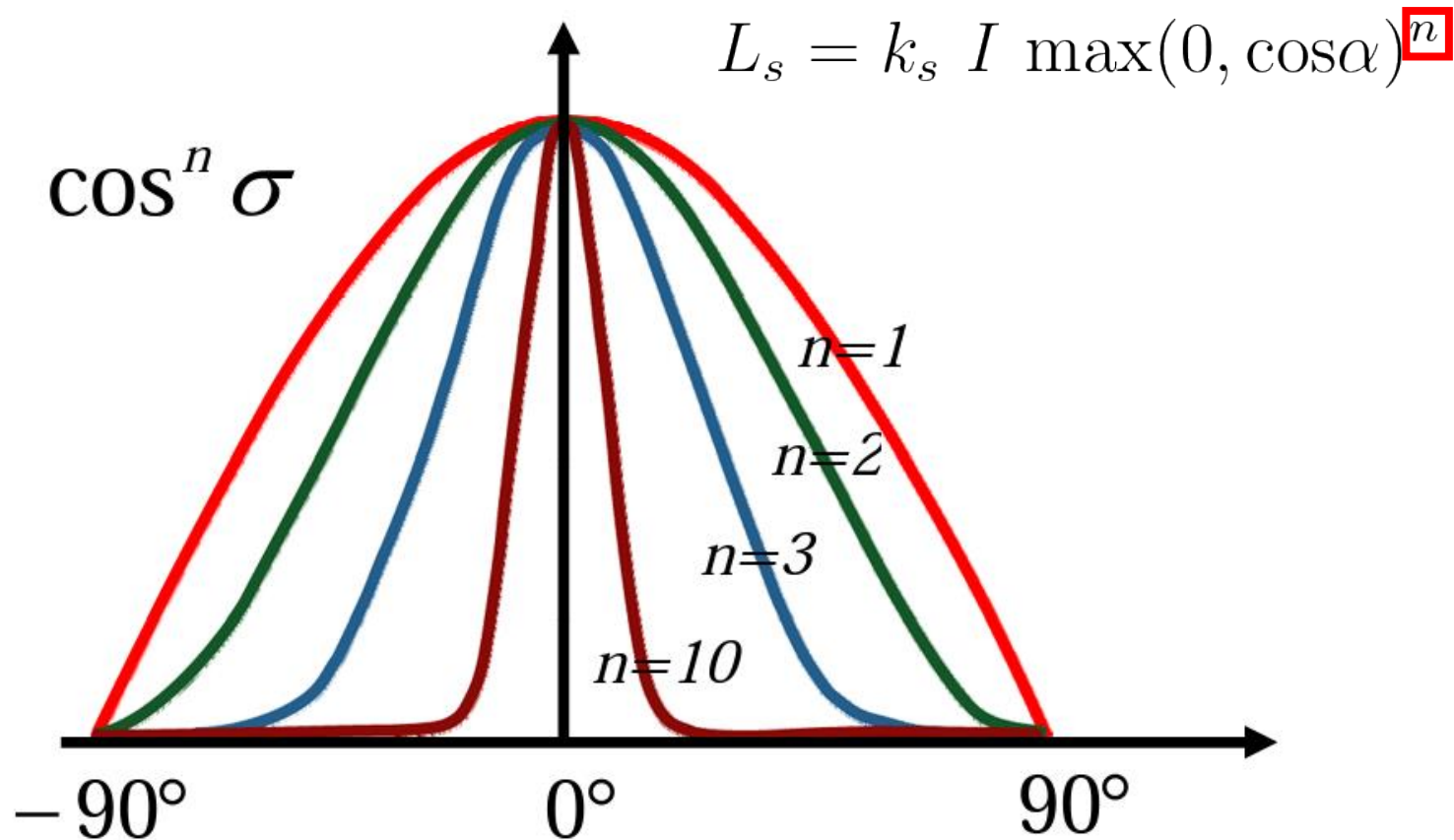


$$\begin{aligned} V_H &= \text{bisector}(V_L, V_E) \\ &= \frac{(V_L + V_E)}{\|V_L + V_E\|} \end{aligned}$$

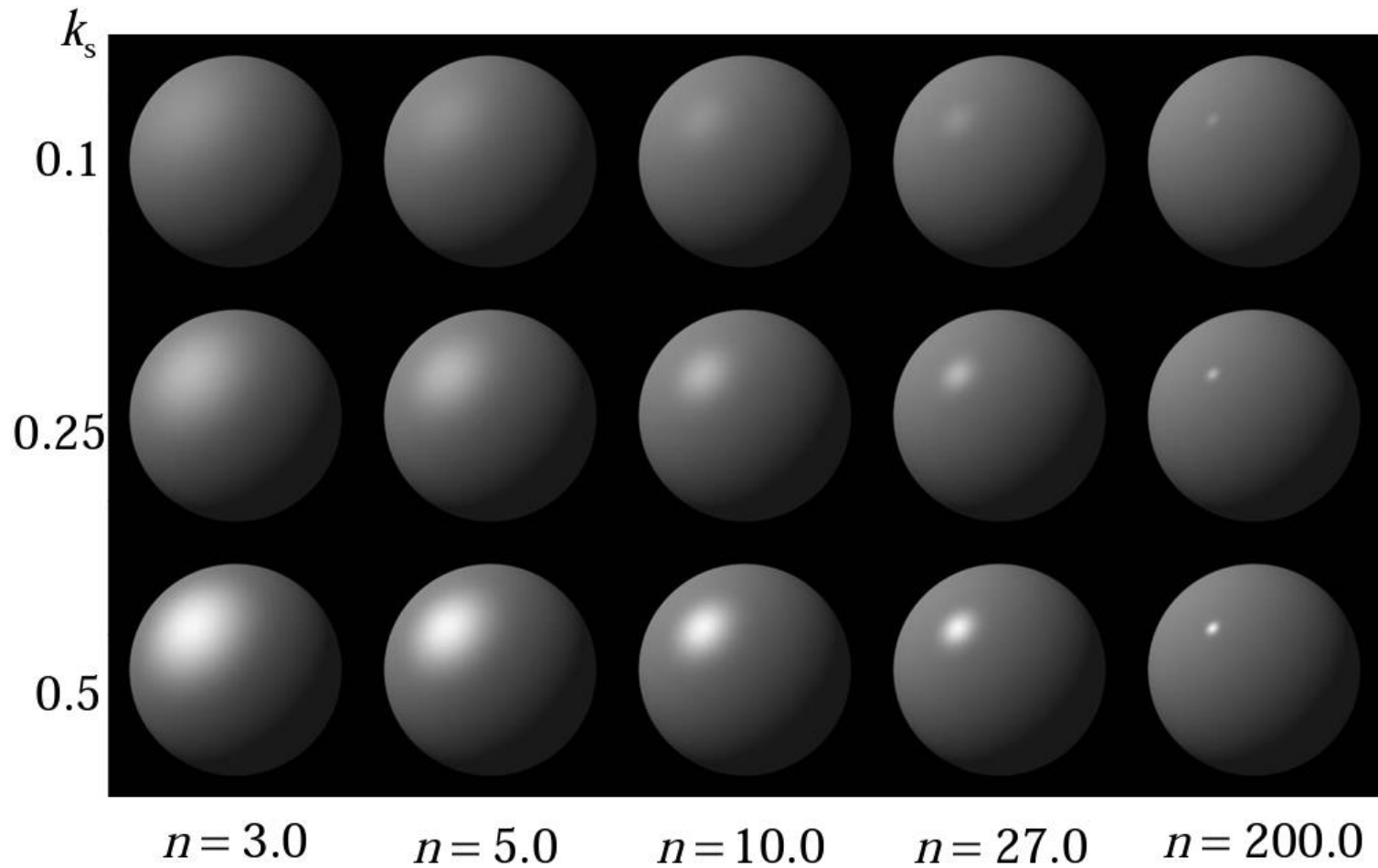
$$\begin{aligned} L_s &= k_s I \max(0, \cos\alpha)^n \\ &= k_s I \max(0, \mathbf{n} \cdot V_H)^n \end{aligned}$$

# Specular Shading (cont.)

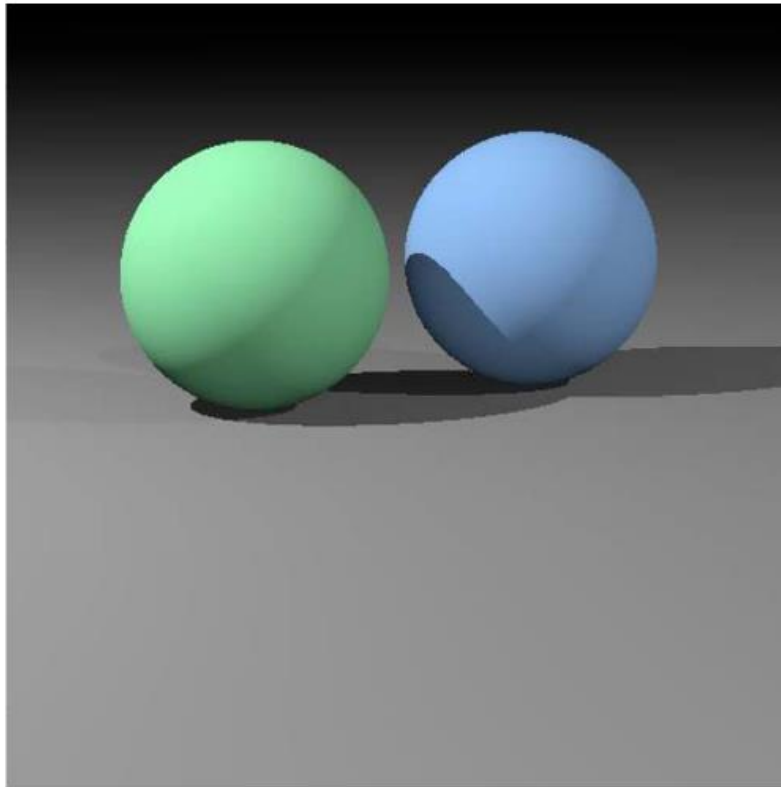
- Increase  $n$  narrows the lobe



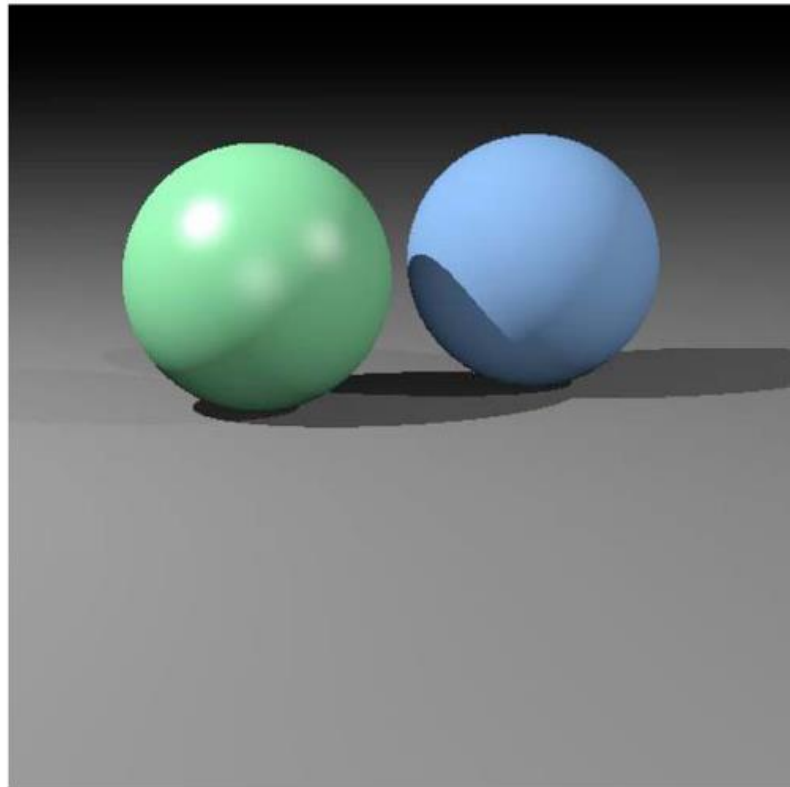
# Specular Shading (cont.)



# Specular Shading (cont.)



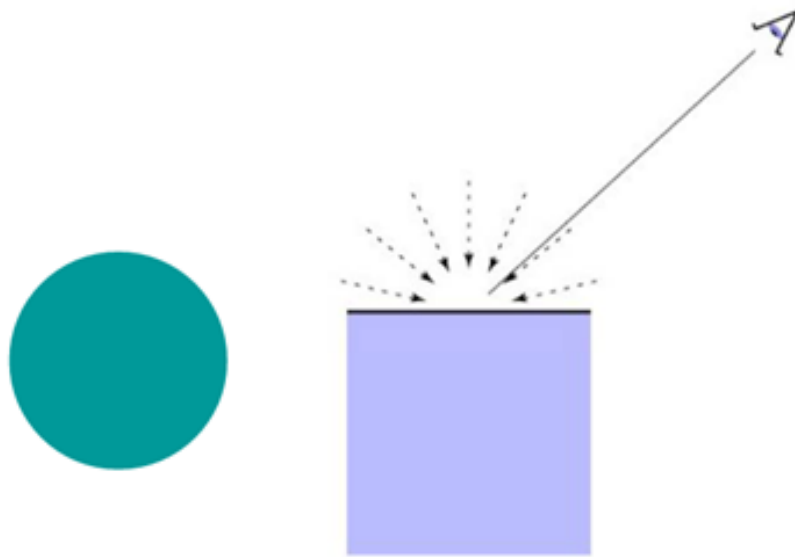
diffuse



diffuse + specular

# Ambient Shading

- Add constant color to account for disregarded illumination and fill in black shadows
- A cheap hack



$$L_a = k_a I_a$$

ambient light

ambient coefficient

reflected ambient light

# Put it All Together

- Compute the contribution of a light to a point by including **ambient**, **diffuse**, and **specular** components

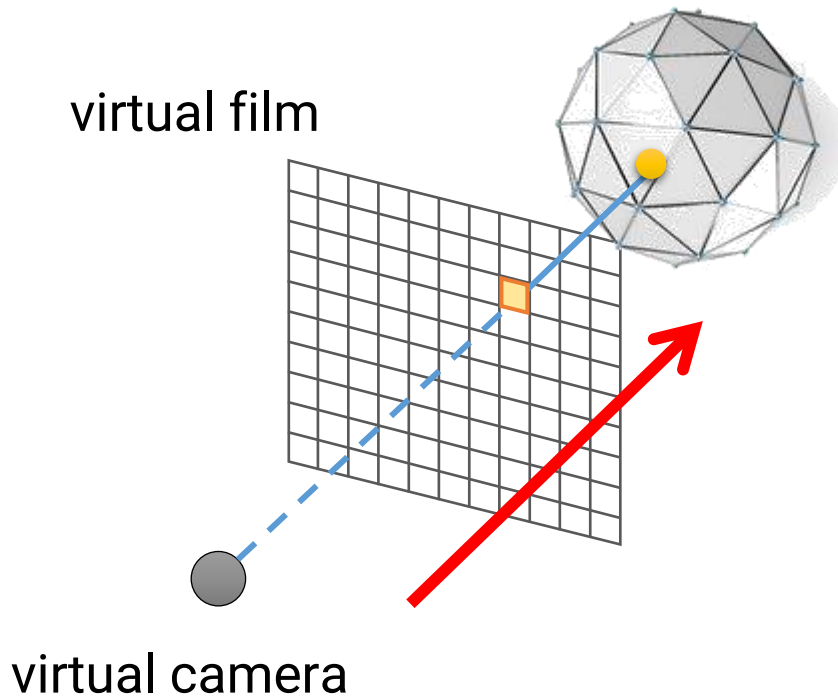
$$\begin{aligned} L &= L_a + L_d + L_s \\ &= k_a I_a + I(k_d \max(0, \mathbf{n} \cdot V_L) + k_s \max(0, \mathbf{n} \cdot V_H)^n) \end{aligned}$$

- If there are many lights, just sum over all the lights because lighting is **linear**

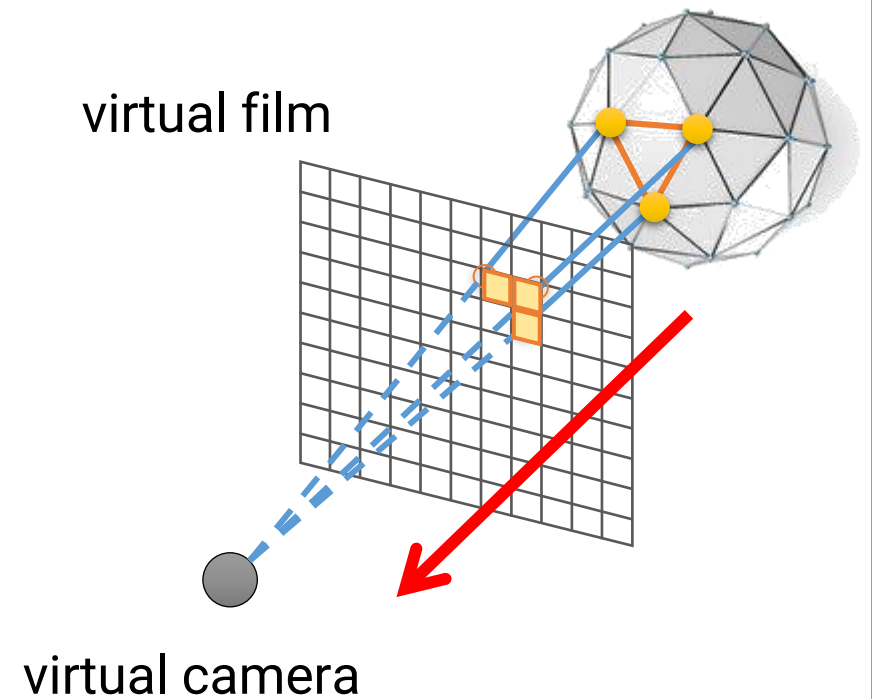


# Bring Triangles into Pixels

## Ray Tracing



## Rasterization

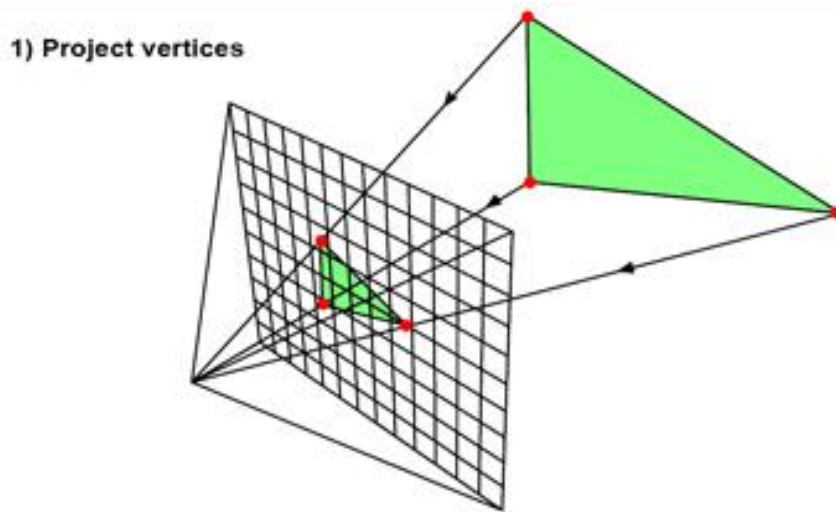


# Graphics API (or Library)

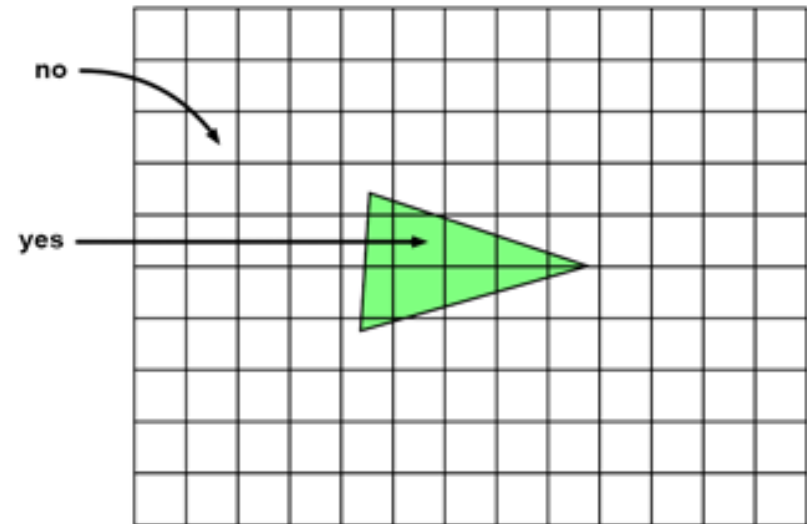
- A program library designed to aid in rendering computer graphics to a monitor
- Typically involves providing optimized versions of functions that handle common **rendering tasks**
- **Rasterization-based**
- Common graphics APIs are
  - OpenGL
  - OpenGL ES
  - WebGL
  - DirectX
  - Metal
  - Vulkan

# Rasterization

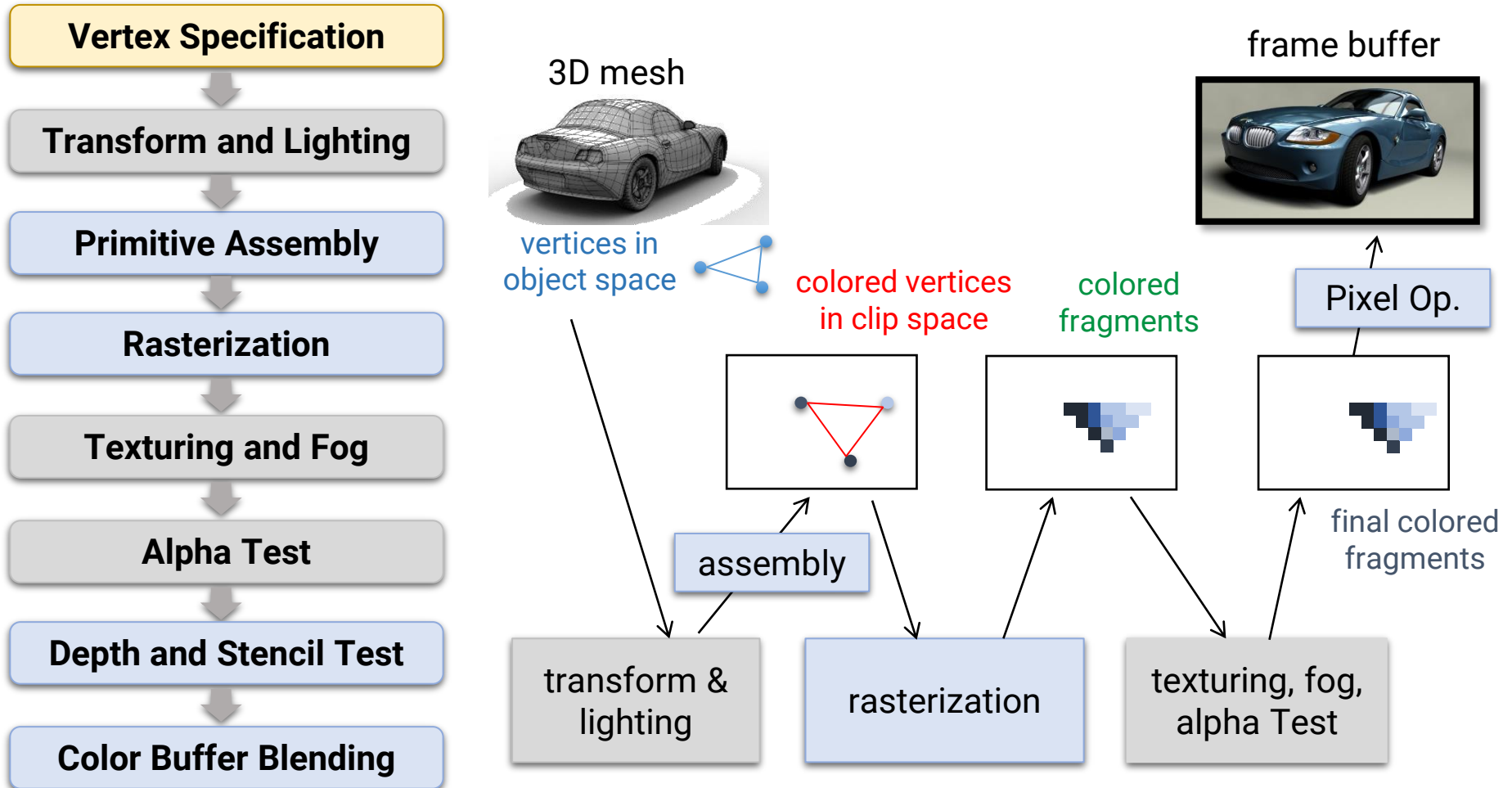
- Rasterization
  - Bring the **triangles** to **pixels**
  - Determine which pixels are covered by a projected 3D triangle



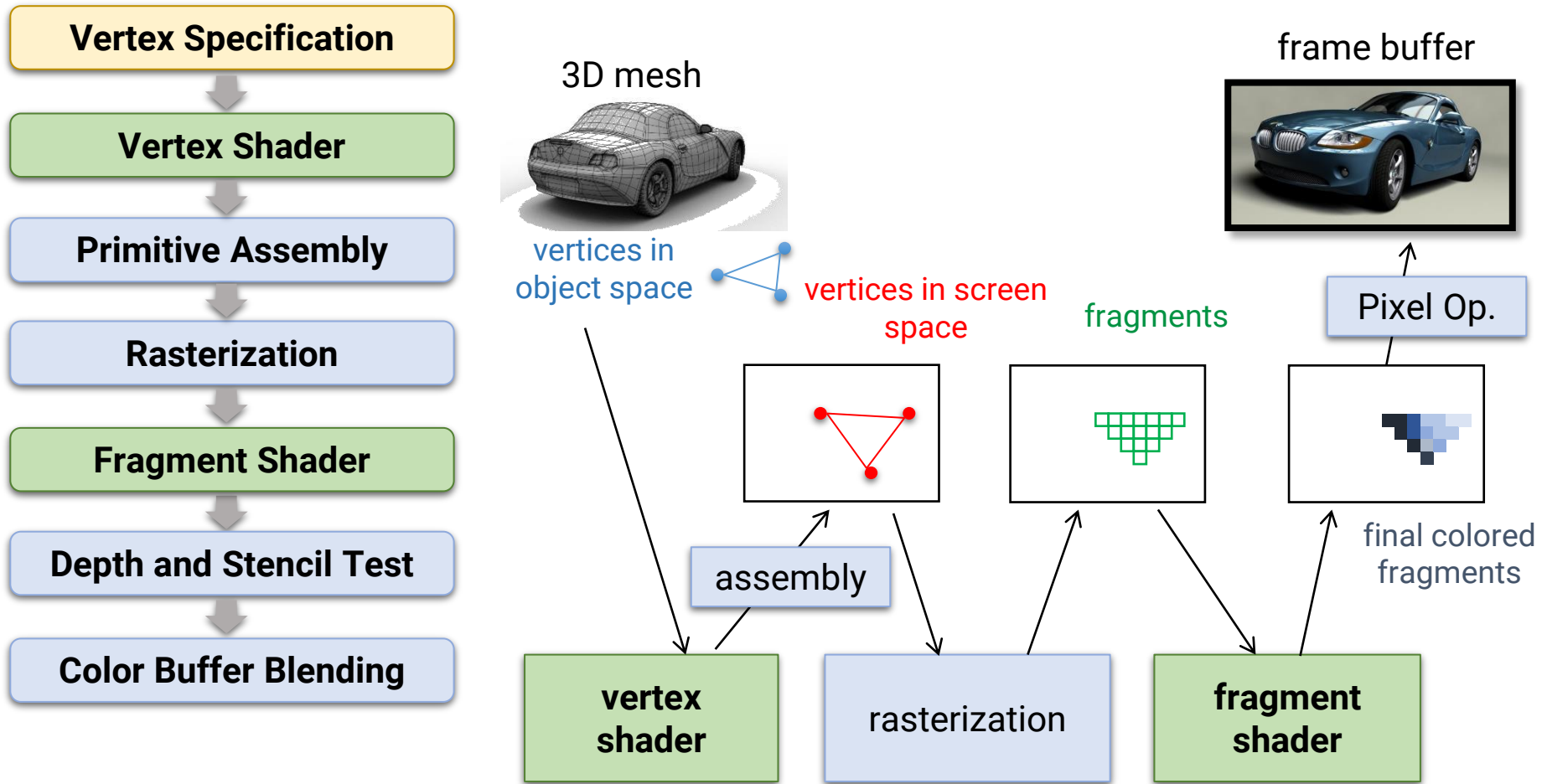
2) Loop over pixels. Does the pixel lie in the triangle?



# OpenGL 1.X (Fixed Function) Graphics Pipeline

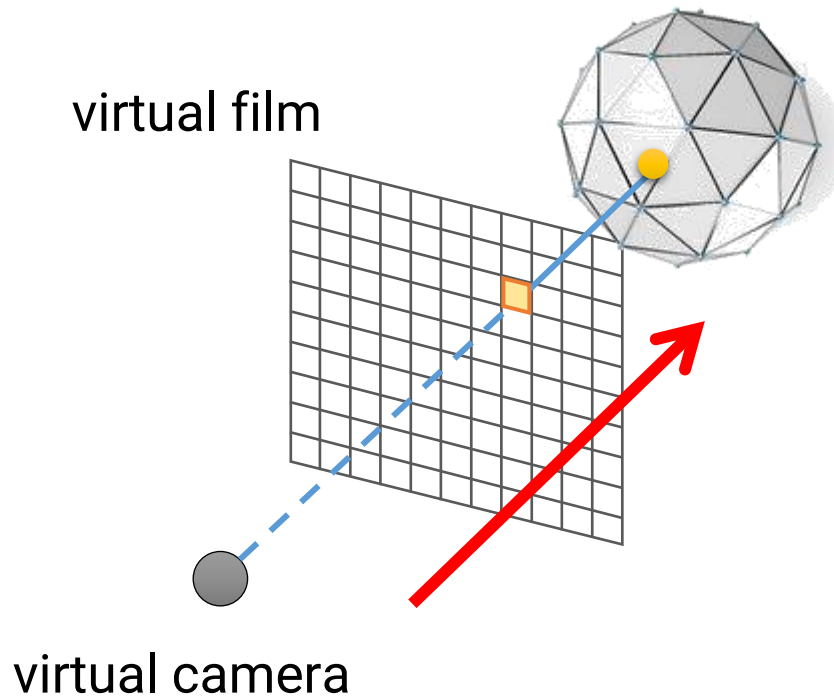


# OpenGL 2.0 Graphics Pipeline

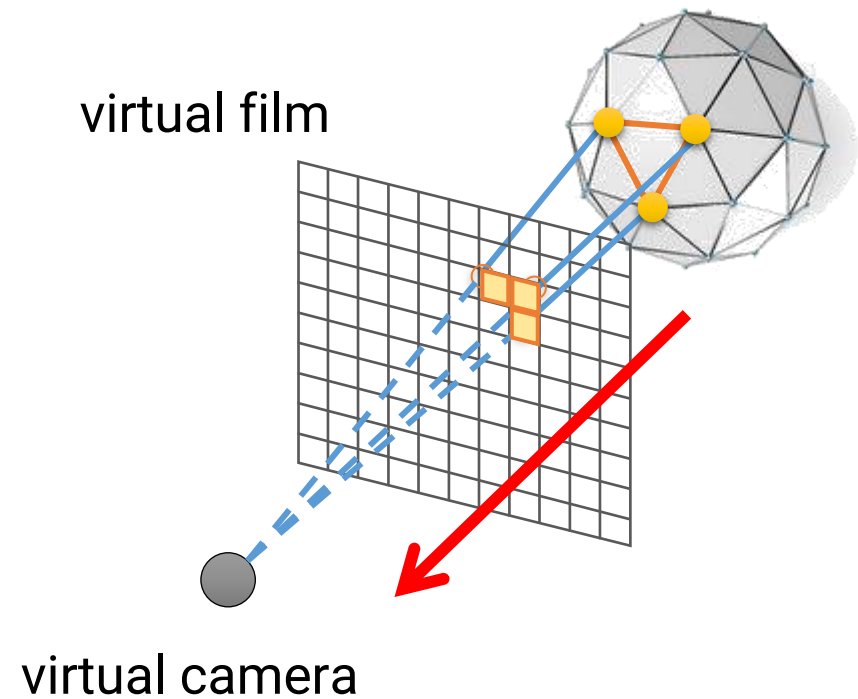


# Bring Triangles into Pixels

## Ray Tracing

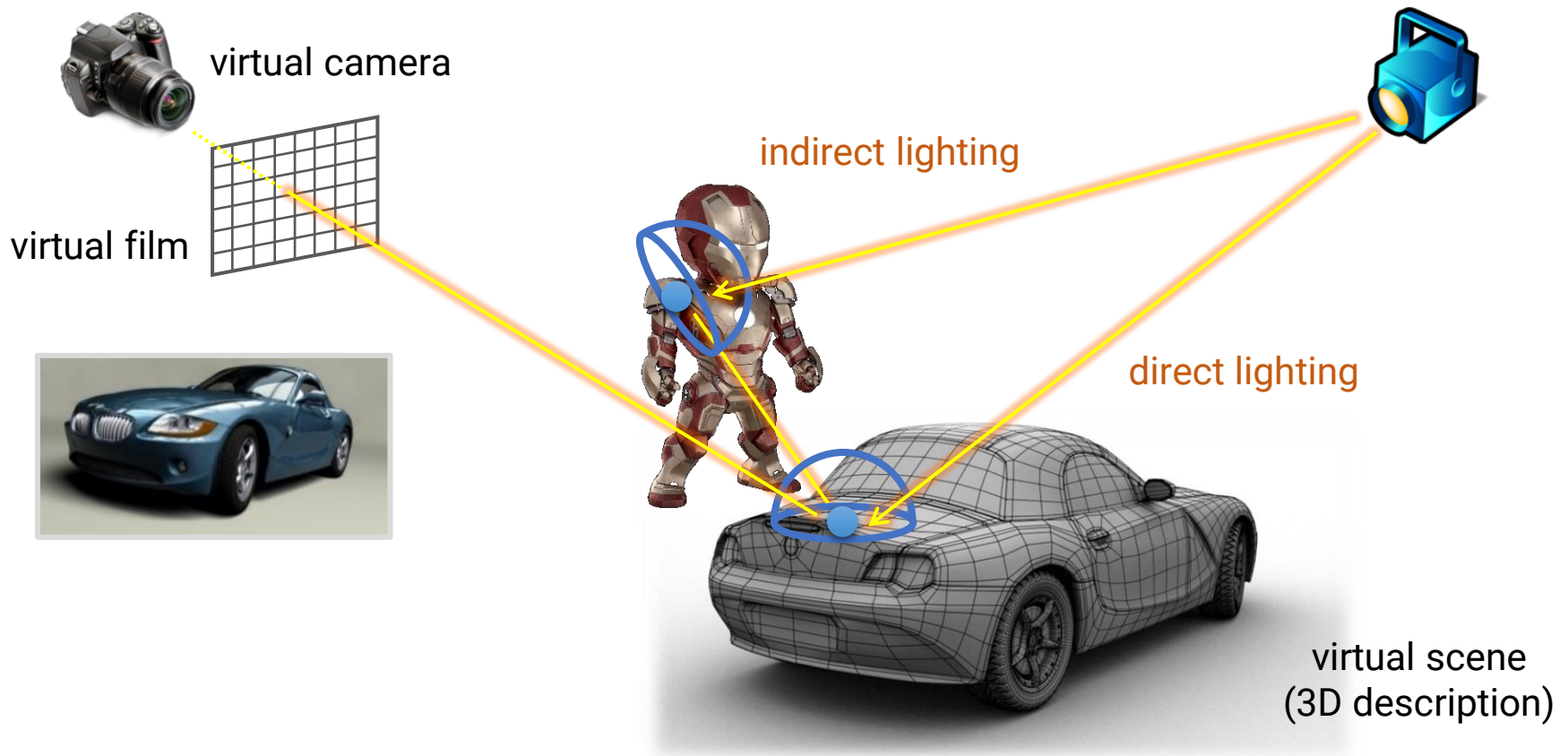


## Rasterization



# Ray Tracing

- Simulate a wide variety of light transport paths by tracing rays and calculate their carried energy

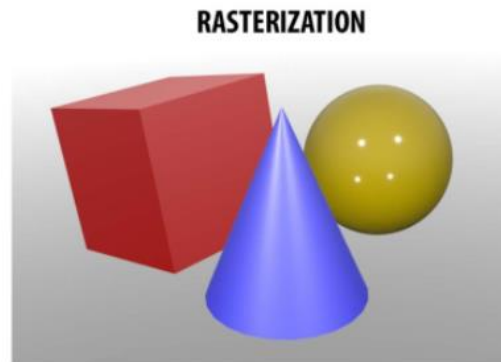
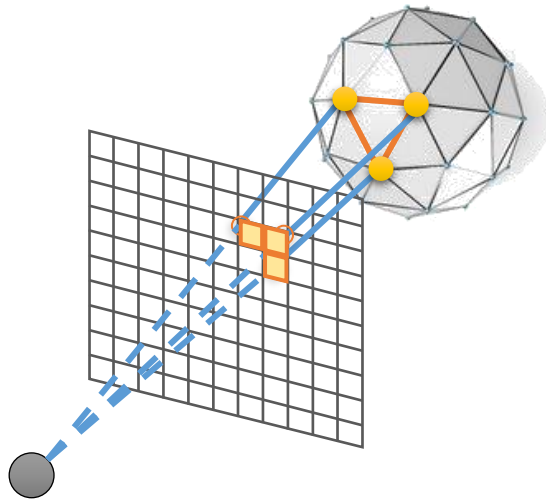






# Rasterization v.s. Ray Tracing

- Rasterization is more friendly to hardware and usually has higher parallelism
- But it is more difficult to simulate effects such as reflection, refraction, shadows, and global illumination
  - Need specialized algorithms



# Rasterization v.s. Ray Tracing

- Ray tracing is more general
- However, its simulator usually has a slow convergence rate and produces lots of noises when samples are not enough



# Rasterization v.s. Ray Tracing



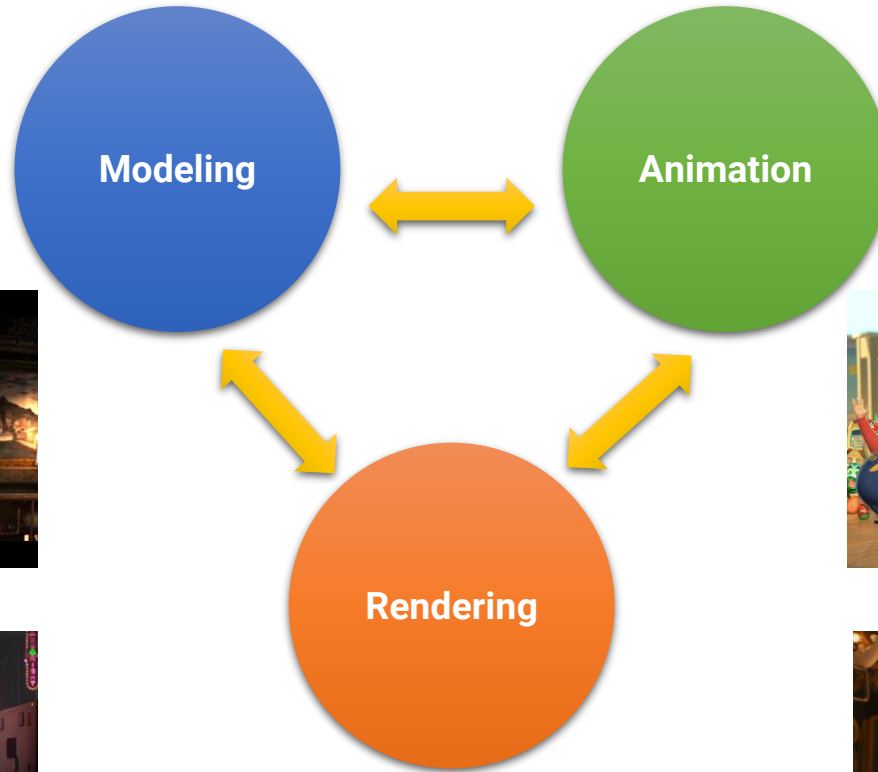
Environment map



Ray-traced reflections



# Real-time v.s. Offline Graphics



1999



1999



2020



2019

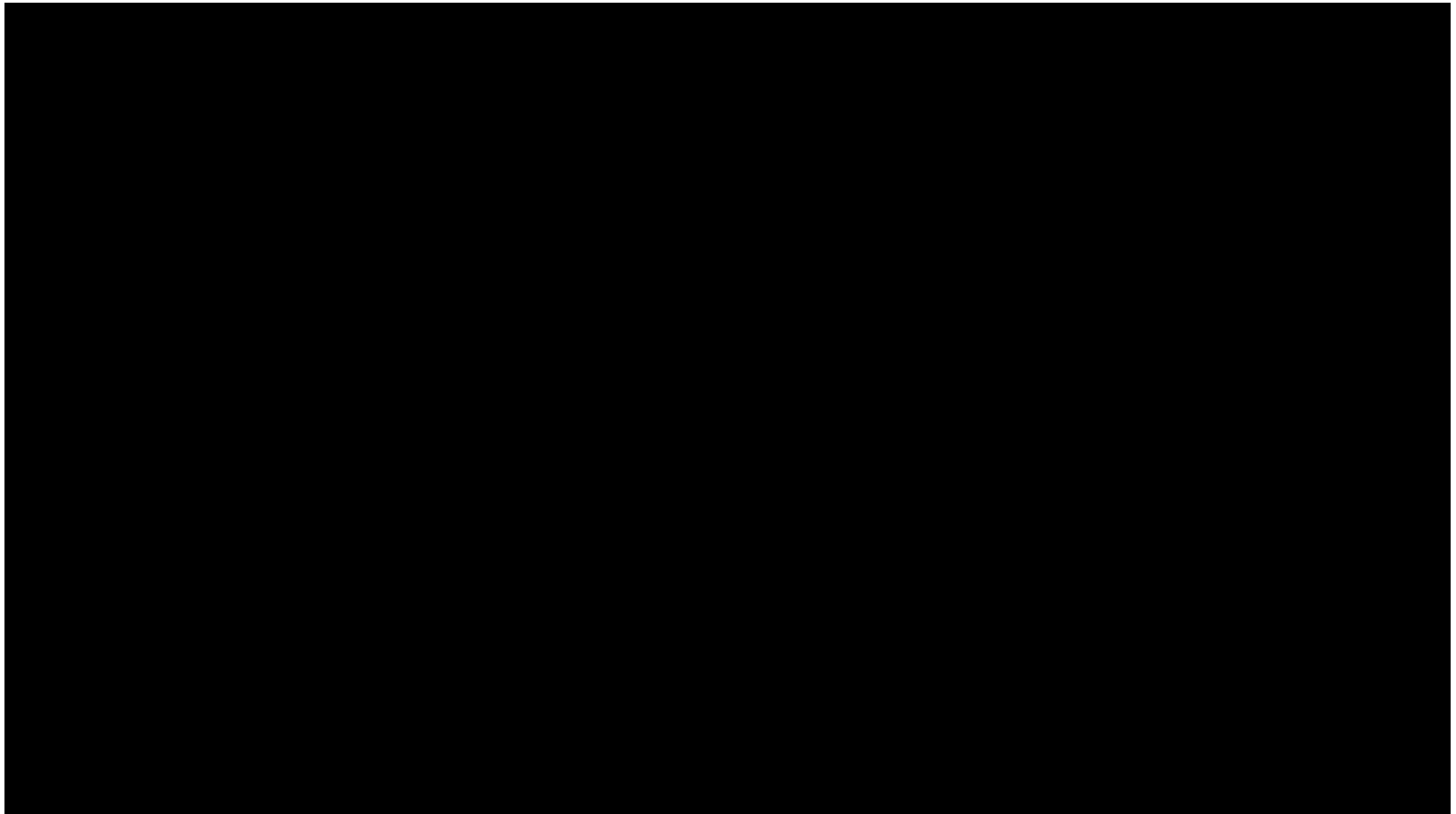


real-time

offline

# Real-time Ray Tracing

- FIRST DAY: A Star Wars short film made with UE5



# How to Learn 3D Computer Graphics?

- Online materials
  - <https://ogldev.org/>
  - <http://www.opengl-tutorial.org/>
  - <https://learnopengl.com/>
  - <https://antongerdelan.net/opengl/>
- Or ...
- Come to my class ***“Introduction to Computer Graphics”*** next semester!