



## 3D Computer Graphics (II)

Multimedia Techniques & Applications

Yu-Ting Wu

(with slides borrowed from Prof. Yung-Yu Chuang)

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## What is Computer Graphics

- Computer graphics are pictures and films created using computers
- Computer graphics is the process of creation, storage and manipulation of models and images using data structure and algorithms

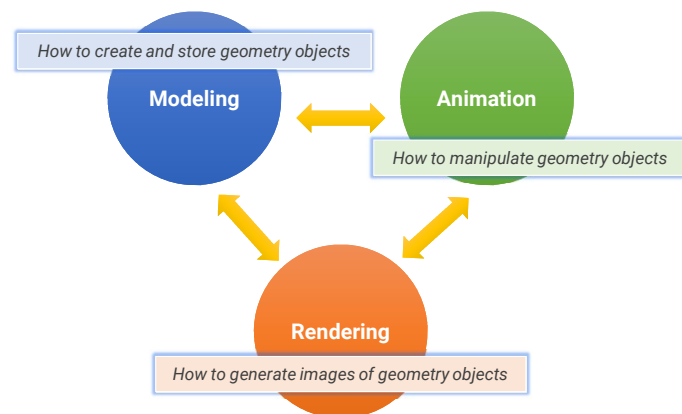


we will focus on this

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## Major Subfields of Computer Graphics

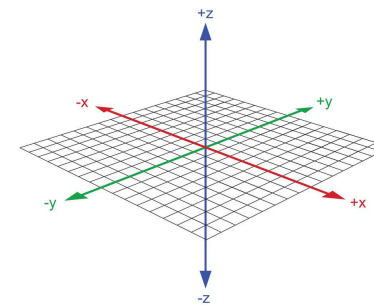


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## Description of a 3D World

- **3D coordinate system**
  - The formation of x, y, and z axis can be different



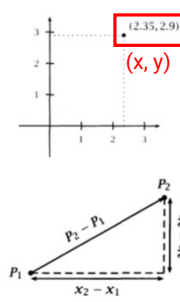
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### From 2D to 3D

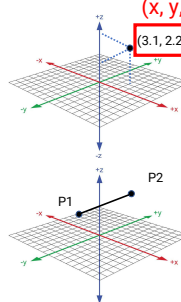
**2D**



point  
 $(x, y)$

vector  
 $(x_2 - x_1, y_2 - y_1)$

**3D**



point  
 $(x, y, z)$

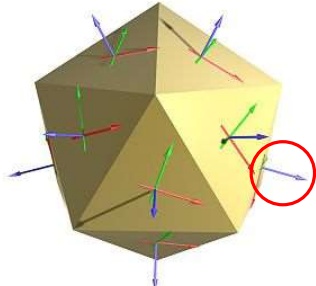
vector  
 $(x_2 - x_1, y_2 - y_1, z_2 - z_1)$

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### Surface Normal

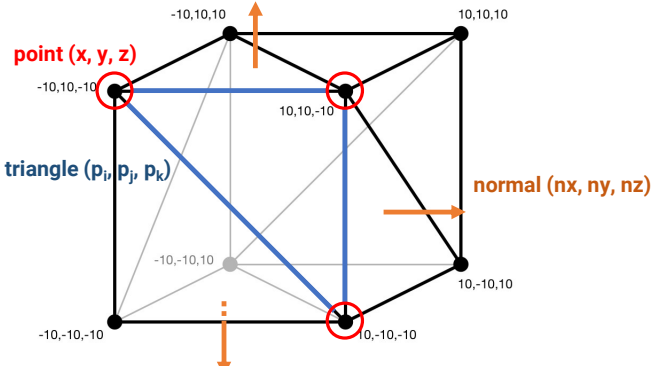
- A **surface normal** is a vector that is **perpendicular** to a surface at a particular position



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### Points, Normals, and Triangles

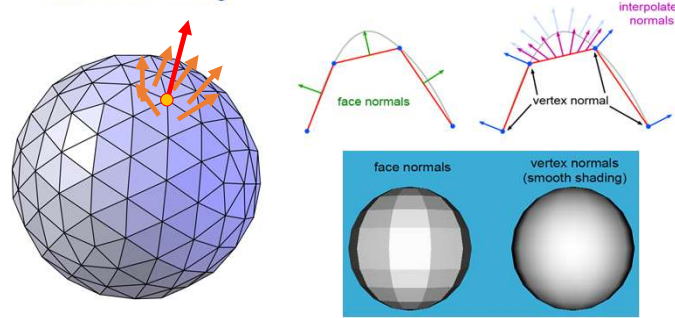


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### Vertex Normal

- Sometimes we also define the normal of a vertex by averaging the surface normals of its adjacent faces for **smooth shading**

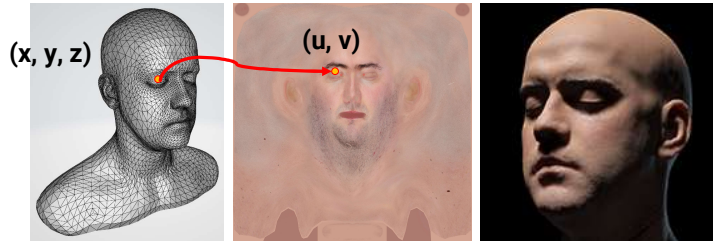


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## Texture Coordinate

### • Texture

- Used to represent spatially-varying data
- Decouple materials from geometry
- Need a mapping from 3D (xyz, object space) to 2D (uv, image space), called **texture coordinate**

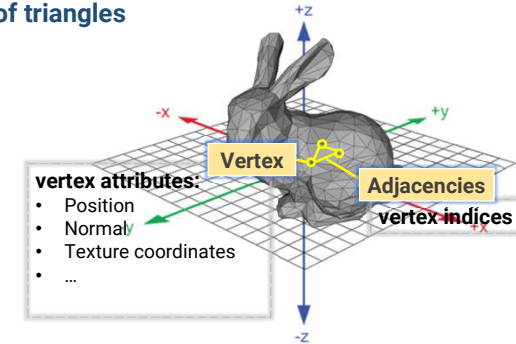


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## Description of an 3D Object

- We will focus on triangle mesh today
- Define the **position**, **normal**, and **texture coordinate** on the **vertices of triangles**



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## Common 3D Model Format

- Wavefront (\*.obj)
- Polygon file format (\*.ply)
- Filmbox (\*.fbx)
- MAX (\*.max)
- Digital Asset Exchange File (\*.dae)
- STereoLithography (\*.stl)

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## Wavefront (OBJ)

<code>mllib default.mtl</code>	<b>material file</b>	<code>g cube</code>	<b>group name</b>
<code>v 0.5 0.5 -0.5</code>	<b>vertex position</b>	<code>usemtl default</code>	<b>group material</b>
<code>v 0.5 0.5 0.5</code>		<code>f 8/4/6 7/3/6 6/2/6</code>	<b>face adjacencies</b>
<code>v -0.5 0.5 0.5</code>		<code>f 8/4/6 6/2/6 5/1/6</code>	
<code>v -0.5 0.5 -0.5</code>		<code>f 8/4/5 4/3/5 3/2/5</code>	
<code>v 0.5 -0.5 -0.5</code>		<code>f 8/4/5 3/2/5 7/1/5</code>	
<code>v 0.5 -0.5 0.5</code>		<code>f 6/4/4 2/3/4 1/2/4</code>	
<code>v -0.5 -0.5 0.5</code>	<b>vertex texture coordinate</b>	<code>f 6/4/4 1/2/4 5/1/4</code>	
<code>v -0.5 -0.5 -0.5</code>	<b>vertex normal</b>	<code>f 5/4/3 1/3/3 4/2/3</code>	
<code>vt 0 1</code>		<code>f 5/4/3 4/2/3 8/1/3</code>	
<code>vt 0 0</code>		<code>f 7/4/2 3/3/2 2/2/2</code>	
<code>vt 1 0</code>		<code>f 7/4/2 2/2/2 6/1/2</code>	
<code>vt 1 1</code>		<code>f 3/4/1 4/3/1 1/2/1</code>	
<code>vn 0 1 0</code>		<code>f 3/4/1 1/2/1 2/1/1</code>	
<code>vn -1 0 0</code>		<code>cube.obj</code>	
<code>vn 1 0 0</code>			
<code>vn 0 0 -1</code>			
<code>vn 0 0 1</code>			
<code>vn 0 -1 0</code>			

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### The Simplest OBJ File

a unit cube

vertex position x y z

1	v	0.5	0.5	-0.5
2	v	0.5	0.5	0.5
3	v	-0.5	0.5	0.5
4	v	-0.5	0.5	-0.5
5	v	0.5	-0.5	-0.5
6	v	0.5	-0.5	0.5
7	v	-0.5	-0.5	0.5
8	v	-0.5	-0.5	-0.5

```

mllib default.mtl
v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 -0.5
v -0.5 -0.5 0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 -1 0
vn 0 0 1
vn 0 -1 0

g cube
usemtl default
f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
    
```

cube.obj

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### The Simplest OBJ File (cont.)

a unit cube

vertex normal nx ny nz

1	vn	0	1	0
2	vn	-1	0	0
3	vn	1	0	0
4	vn	0	-1	0
5	vn	0	0	1
6	vn	0	-1	0

```

mllib default.mtl
v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 -0.5
v -0.5 -0.5 0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 -1 0
vn 0 0 1
vn 0 -1 0

g cube
usemtl default
f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
    
```

cube.obj

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### The Simplest OBJ File (cont.)

a unit cube

vertex texture coordinate u v

1	vt	0	1
2	vt	0	0
3	vt	1	0
4	vt	1	1

```

mllib default.mtl
v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 -0.5
v -0.5 -0.5 0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 -1 0
vn 0 0 1
vn 0 -1 0

g cube
usemtl default
f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
    
```

cube.obj

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### The Simplest OBJ File (cont.)

a unit cube

face adjacency v1/vt1/vn1 (index)

f	8/4/6	7/3/6	6/2/6
f	8/4/6	6/2/6	5/1/6
f	8/4/5	4/3/5	3/2/5
f	8/4/5	3/2/5	7/1/5
f	6/4/4	2/3/4	1/2/4
f	6/4/4	1/2/4	5/1/4
f	5/4/3	1/3/3	4/2/3
f	5/4/3	4/2/3	8/1/3
f	7/4/2	3/3/2	2/2/2
f	7/4/2	2/2/2	6/1/2
f	3/4/1	4/3/1	1/2/1
f	3/4/1	1/2/1	2/1/1

```

mllib default.mtl
v 0.5 0.5 -0.5
v 0.5 0.5 0.5
v -0.5 0.5 0.5
v -0.5 0.5 -0.5
v 0.5 -0.5 -0.5
v 0.5 -0.5 0.5
v -0.5 -0.5 -0.5
v -0.5 -0.5 0.5

vt 0 1
vt 0 0
vt 1 0
vt 1 1

vn 0 1 0
vn -1 0 0
vn 1 0 0
vn 0 -1 0
vn 0 0 1
vn 0 -1 0

g cube
usemtl default
f 8/4/6 7/3/6 6/2/6
f 8/4/6 6/2/6 5/1/6
f 8/4/5 4/3/5 3/2/5
f 8/4/5 3/2/5 7/1/5
f 6/4/4 2/3/4 1/2/4
f 6/4/4 1/2/4 5/1/4
f 5/4/3 1/3/3 4/2/3
f 5/4/3 4/2/3 8/1/3
f 7/4/2 3/3/2 2/2/2
f 7/4/2 2/2/2 6/1/2
f 3/4/1 4/3/1 1/2/1
f 3/4/1 1/2/1 2/1/1
    
```

cube.obj

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## Play with Mesh Viewer

- There are lots of free models on the internet



- Try to download one and play with it in the viewer
- Free mesh viewer
  - Windows 3D Viewer
  - Meshlab <https://www.meshlab.net/>
  - Blender (modeling tool) <https://www.blender.org/>
  - Unity (game engine)
  - Unreal engine (game engine)

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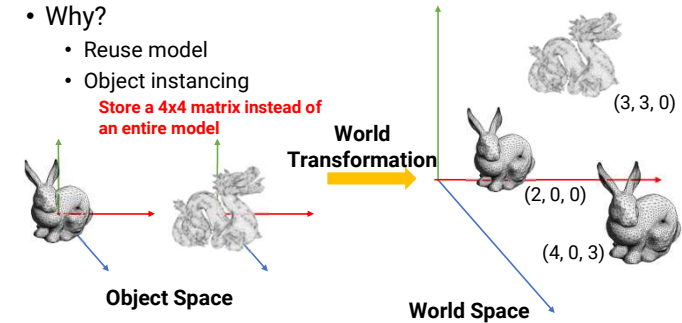
## Object Space and World Space

- Shapes (or objects) are defined in **object space** and transformed to **world space**

- Why?

- Reuse model
- Object instancing

Store a 4x4 matrix instead of an entire model



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## Object Space and World Space (cont.)

- Demo with Unity

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## Recap: 2D Transformation

- Using **3x3 matrix** allows us to perform all transformations using matrix/vector multiplications
  - We can also **pre-multiply** all the matrices together
- We call the  $(x, y, 1)$  representation for  $(x, y)$  **homogeneous coordinate**

$$\text{Translation} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$\text{Scaling} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$\text{Rotation} \quad \begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

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### 3D Transformation

- A 3D transformation  $T$  is represented as a **4x4 matrix**, with **homogeneous coordinate**

Translation	$\begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$
Scaling	$\begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}$	$\begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & t_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$
Rotation	$\begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$	$\begin{bmatrix} \cos(v) & -\sin(v) & 0 & 0 \\ \sin(v) & \cos(v) & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$
	2D	3D

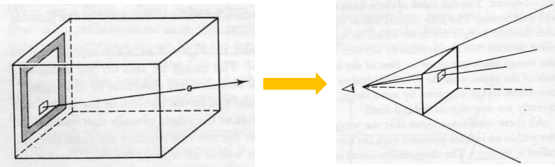
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### (Virtual) Camera

- For most cases in computer graphics, we use a **perspective pinhole camera model** for its simplicity



The virtual film is placed **in front of** the camera for avoiding **up-side-down** image

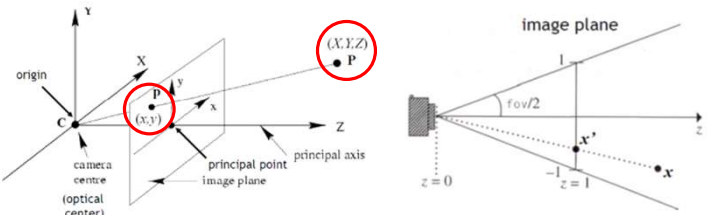
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### Perspective Pinhole Camera Model

- Assume the camera is located at **origin** and look to **+Z** (or another axis depends on the graphics system)



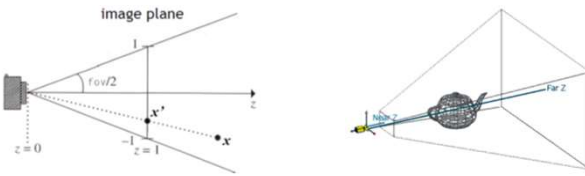
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### Perspective Pinhole Camera Model (cont.)

- 3D points can be projected on the virtual film by using a projection matrix

$$\begin{bmatrix} \tan^{-1}\left(\frac{FOV_x}{2}\right) & 0 & 0 & 0 \\ 0 & \tan^{-1}\left(\frac{FOV_y}{2}\right) & 0 & 0 \\ 0 & 0 & \frac{Z_{far}}{Z_{far}-Z_{near}} & -\frac{Z_{far}Z_{near}}{Z_{far}-Z_{near}} \\ 0 & 0 & 1 & 0 \end{bmatrix}$$


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### Transform between Spaces

Model matrix **M**    Camera matrix **V**    Projection matrix **P**

Object Space    World Space    Camera Space    Clip Space

World Transform  
• Translation  
• Scaling  
• Rotation

Camera Transform    Projection

$$p' = P * V * M * p$$

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### Shading

- Simulate the **interaction** between a **light** and a **surface point**

virtual film

virtual camera

Point **P** on a surface through a pixel **p**  
Normal **N** at **P**  
Lighting direction **VL**  
Viewing direction **VE**  
**Goal: compute color L for pixel p**

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### Lambertian Term

- Assume the two lights have equal intensity and equal distance to **P**, which light can contribute more to the point **P**?
  - The contribution is proportional to **cosθ**, where **θ** is the angle between **surface normal N** and **lighting direction VL**

**N**

**P**

**VL**    **E**    **θ**

**θ = 30°**    **θ = 60°**

**d**    **d**    **Ecosθ**

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### Virtual Lights in Computer Graphics

- Point light
- Spot light
- Area light
- Directional light
- Environment light

local lights

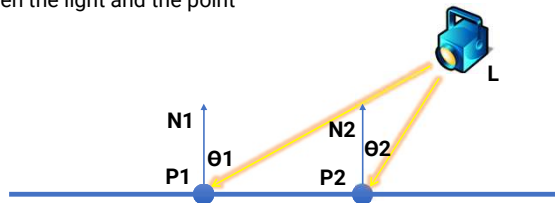
distant lights

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## Local Lights

- The distance between a light and a surface is **not** long enough compared to the scene scale
- The position of a light need to be taken into account during shading
  - Lighting direction** =  $|L - P|$
  - Lighting attenuation** is proportional to the square of distance between the light and the point

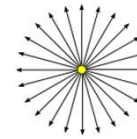


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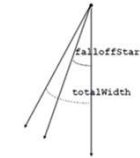
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## Local Lights (cont.)

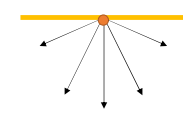
Point Light



Spot Light



Area Light

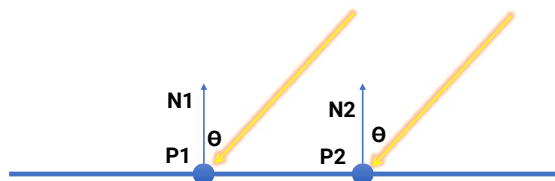


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## Distant Lights

- The distance between a light and a surface is long enough compared to the scene scale and **can be ignored**
  - Lighting direction** is fixed
  - No lighting attenuation**
- Directional light (sun)** is the most common distant light

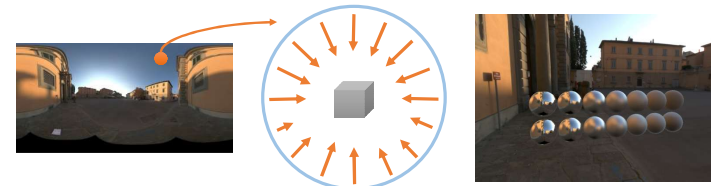


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## Environment Light

- Environment light illuminates the scene from a **virtual sphere at infinite distance**
- The spherical energy distribution is usually represented with longitude-latitude images
- Also called **image-based lighting (IBL)**



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## Environment Light (cont.)

- Widely used in digital visual effects and film production



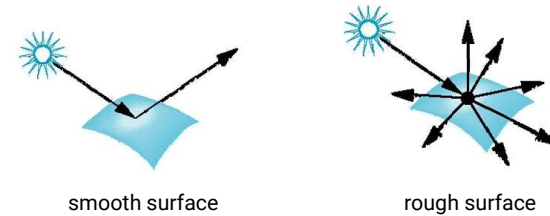
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## Materials

### • Surface types

- The **smoother** a surface, the more reflected light is concentrated in the direction a **perfect mirror** would reflect the light



smooth surface

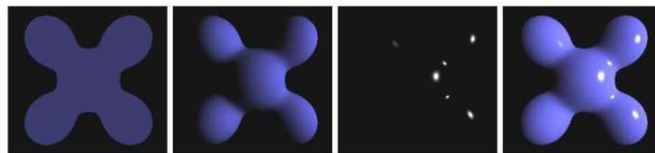
rough surface

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## Basics of Local Shading

- **Diffuse reflection**
  - Light goes everywhere; colored by object color
- **Specular reflection**
  - Happens only near mirror configuration; usually white
- **Ambient reflection**
  - Constant accounted for other source of illumination



ambient

diffuse

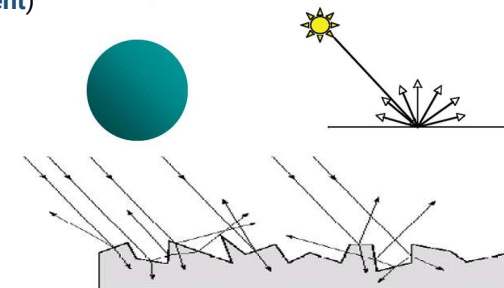
specular

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## Diffuse Shading

- Assume light reflects **equally in all directions**
  - The surface is rough with lots of tiny microfacets
- Therefore, surface looks same color from all views (**view independent**)



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### Diffuse Shading (cont.)

- Applies to diffuse, Lambertian or matte surface

$$L_d = k_d I \max(0, n \cdot V_L)$$

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### Diffuse Shading (cont.)

diffuse-reflection model with different  $k_d$

ambient and diffuse-reflection model with different  $k_a$   
 $I_a = 1.0 \quad k_d = 0.4$

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### Diffuse Shading (cont.)

- For color objects, apply the formula for each color channel separately
- Light can also be non-white

Example:  
**white light:** (0.9, 0.9, 0.9)  
**yellow light:** (0.8, 0.8, 0.2)

$$L_d = k_d I \max(0, n \cdot V_L)$$

Example:  
**green ball:** (0.2, 0.7, 0.2)  
**blue ball:** (0.2, 0.2, 0.7)

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### Specular Shading

- Some surfaces have highlights, mirror-like reflection
- **View direction dependent**
- Especially obvious for smooth shiny surfaces

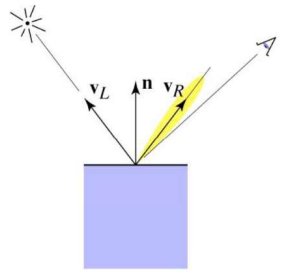
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### Specular Shading (cont.)

- Also known as glossy
- Phong specular model [1975]



$$V_R = V_L + 2((\mathbf{n} \cdot V_L) \mathbf{n} - V_L)$$

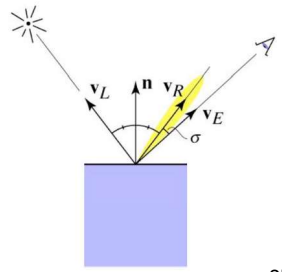
$$= 2(\mathbf{n} \cdot V_L) \mathbf{n} - V_L$$

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### Specular Shading (cont.)

- Also known as glossy
- Phong specular model [1975]
  - Fall off gradually from the perfect reflection direction



$$V_R = V_L + 2((\mathbf{n} \cdot V_L) \mathbf{n} - V_L)$$

$$= 2(\mathbf{n} \cdot V_L) \mathbf{n} - V_L$$

$$L_s = k_s I \max(0, \cos \sigma)^n$$

$$= k_s I \max(0, V_E \cdot V_R)^n$$

↑ specularly reflected light

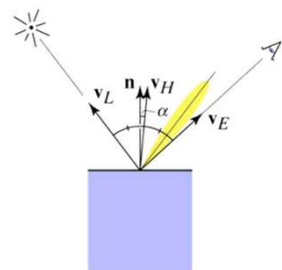
↑ specular coefficient

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### Specular Shading (cont.)

- Phong variant: **Blinn-Phong**
  - Rather than computing reflection directly; just compare to normal bisection property
  - One can prove  $\cos^n(\sigma) = \cos^{4n}(\alpha)$



$$V_H = \text{bisector}(V_L, V_E)$$

$$= \frac{(V_L + V_E)}{\|V_L + V_E\|}$$

$$L_s = k_s I \max(0, \cos \alpha)^n$$

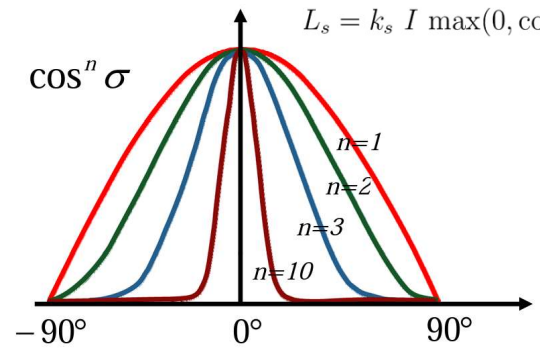
$$= k_s I \max(0, \mathbf{n} \cdot V_H)^n$$

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### Specular Shading (cont.)

- Increase n narrows the lobe



$$L_s = k_s I \max(0, \cos \alpha)^n$$

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### Specular Shading (cont.)

$k_s$

0.1

0.25

0.5

$n = 3.0$     $n = 5.0$     $n = 10.0$     $n = 27.0$     $n = 200.0$

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### Specular Shading (cont.)

diffuse

diffuse + specular

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### Ambient Shading

- Add constant color to account for disregarded illumination and fill in black shadows
- A cheap hack

ambient light

$L_a = k_a I_a$

ambient coefficient

reflected ambient light

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### Put it All Together

- Compute the contribution of a light to a point by including **ambient**, **diffuse**, and **specular** components

$$L = L_a + L_d + L_s$$

$$= k_a I_a + I(k_d \max(0, \mathbf{n} \cdot \mathbf{V}_L) + k_s \max(0, \mathbf{n} \cdot \mathbf{V}_H)^n)$$

- If there are many lights, just sum over all the lights because lighting is **linear**

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## Bring Triangles into Pixels

### Ray Tracing

virtual film

virtual camera

### Rasterization

virtual film

virtual camera

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## Graphics API (or Library)

- A program library designed to aid in rendering computer graphics to a monitor
- Typically involves providing optimized versions of functions that handle common **rendering tasks**
- **Rasterization-based**
- Common graphics APIs are
  - OpenGL
  - OpenGL ES
  - WebGL
  - DirectX
  - Metal
  - Vulkan

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## Rasterization

- Rasterization
  - Bring the **triangles to pixels**
  - Determine which pixels are covered by a projected 3D triangle

1) Project vertices

2) Loop over pixels. Does the pixel lie in the triangle?

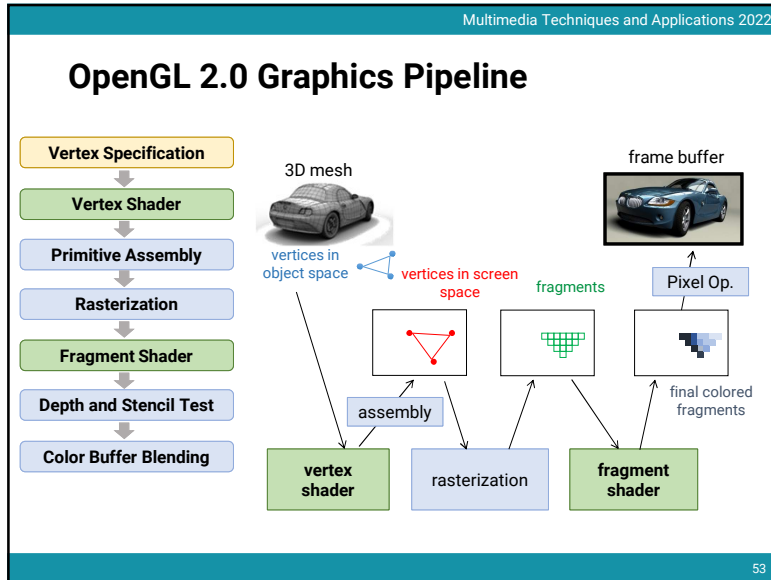
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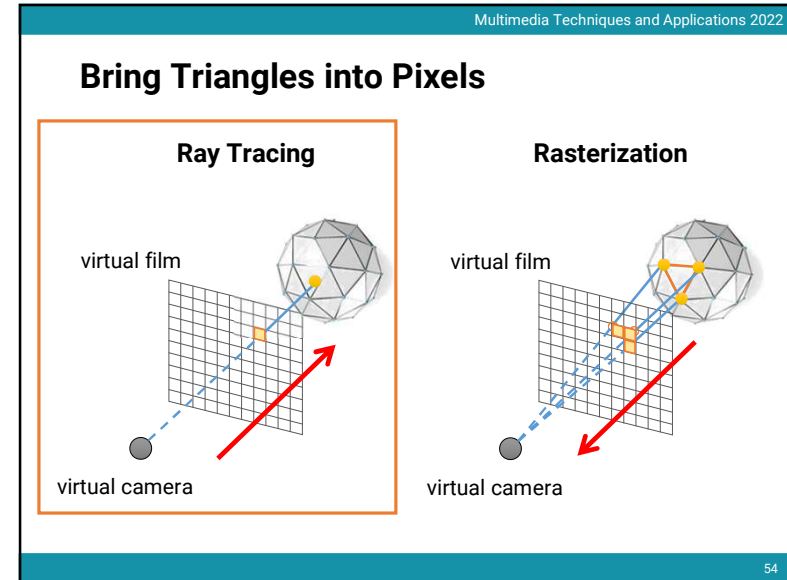
## OpenGL 1.X (Fixed Function) Graphics Pipeline

- Vertex Specification
- Transform and Lighting
- Primitive Assembly
- Rasterization
- Texturing and Fog
- Alpha Test
- Depth and Stencil Test
- Color Buffer Blending

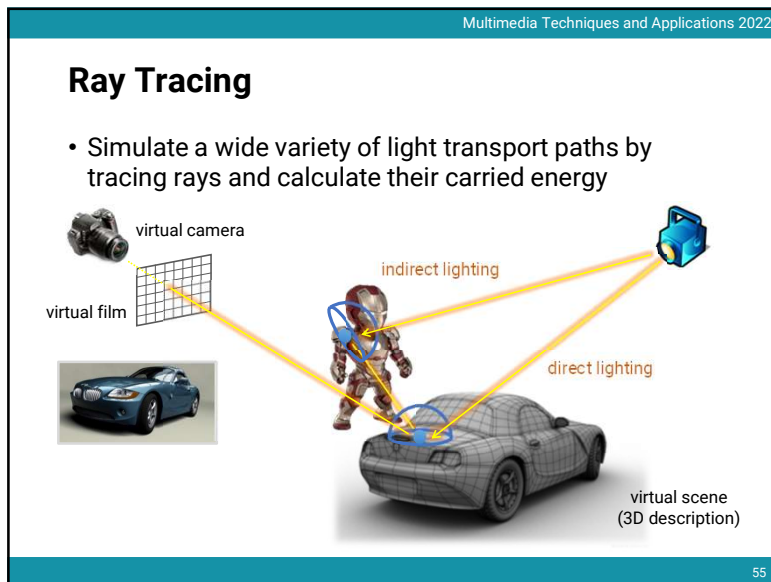
52



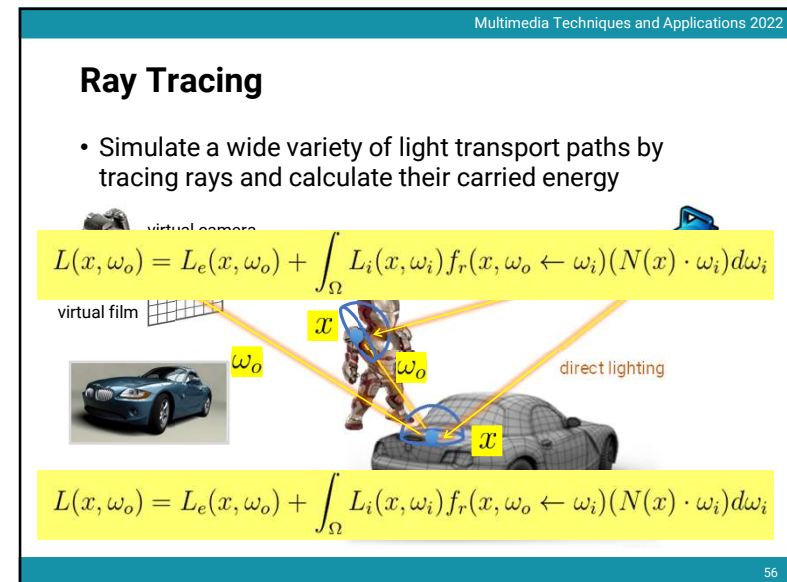
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### Rasterization v.s. Ray Tracing

- Rasterization is more friendly to hardware and usually has higher parallelism
- But it is more difficult to simulate effects such as reflection, refraction, shadows, and global illumination
  - Need specialized algorithms

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### Rasterization v.s. Ray Tracing

- Ray tracing is more general
- However, its simulator usually has a slow convergence rate and produces lots of noises when samples are not enough

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### Rasterization v.s. Ray Tracing

Environment map      Ray-traced reflections

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### Real-time v.s. Offline Graphics

Modeling      Animation

1999      1999

2020      2019

Rendering

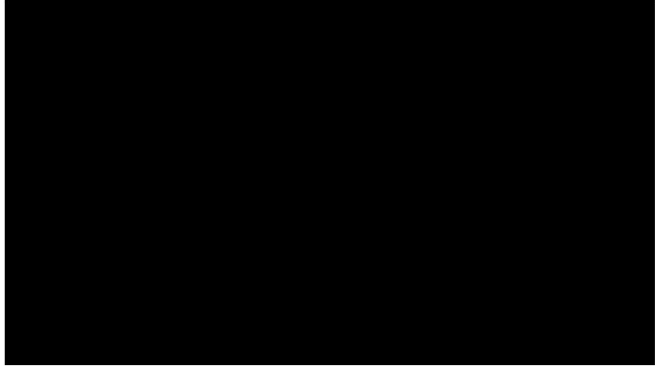
real-time      offline

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## Real-time Ray Tracing

- FIRST DAY: A Star Wars short film made with UE5



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## How to Learn 3D Computer Graphics?

- Online materials
  - <https://ogldev.org/>
  - <http://www.opengl-tutorial.org/>
  - <https://learnopengl.com/>
  - <https://antongerdelan.net/opengl/>
- Or ...
- Come to my class ***"Introduction to Computer Graphics"*** next semester!

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